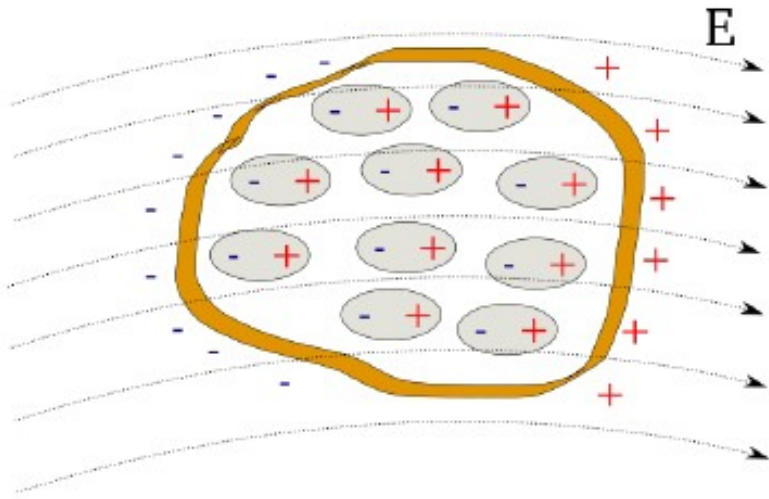
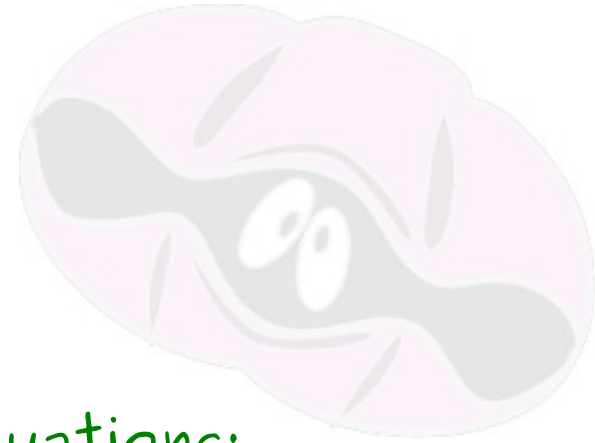


Real Time spectroscopy

the **Yambo** team

Absorption



Materials equations:

$$D(r, t) = E(r, t) + P(r, t)$$

Electric Field

Polarization

Electric
Displacement

In general:

$$\Delta P(r, t) = \int \alpha(t-t', r, r') E(t', r') dt' dr' + \int dt^1 dt^2 \alpha^2(\dots) E(t^1) E(t^2) + O(E^3)$$

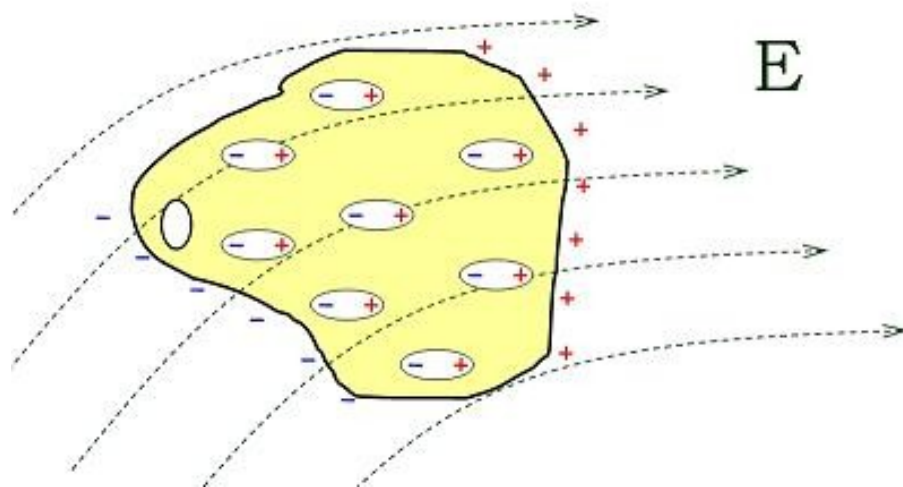
Theory of Absorption

small perturbation → first term in the expansion,
the linear response regime

$$\Delta P(r, t) = \int \alpha(t - t', r, r') E(t', r') dt' dr' + O(E^2)$$

$$\Delta P(\omega) = \alpha(\omega) E(\omega)$$

$$\begin{aligned} P(\omega) &= D(\omega) - E(\omega) \\ &= (\epsilon(\omega) - 1) E(\omega) \end{aligned}$$



Theory of Absorption

small perturbation → first term in the expansion,
the linear response regime

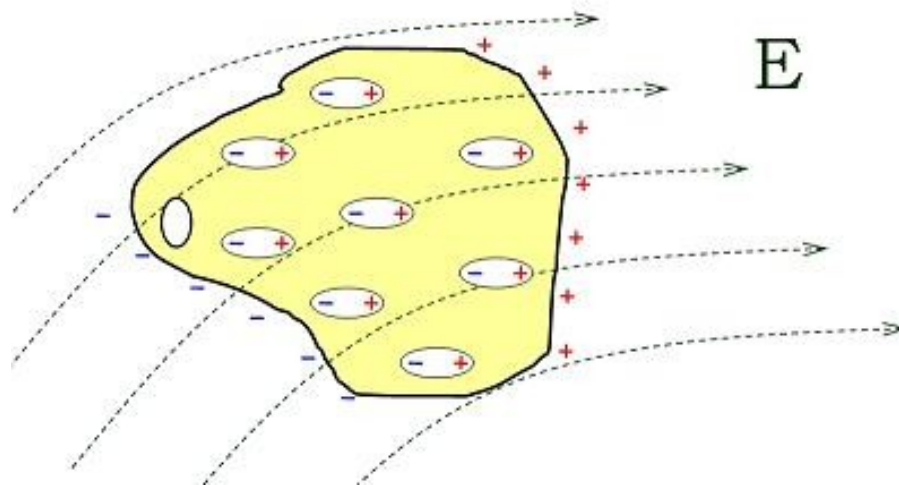
$$\Delta P(r, t) = \int \alpha(t - t', r, r') E(t', r') dt' dr' + O(E^2)$$

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Frequency
space
spectroscopy

$$\epsilon(\omega) = 1 + \alpha(\omega)$$



Theory of Absorption

small perturbation → first term in the expansion,
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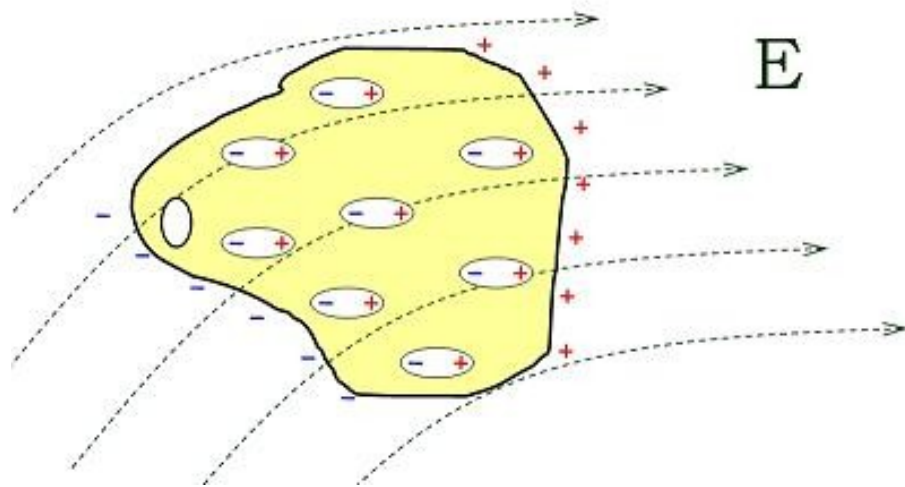
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Frequency
space
spectroscopy

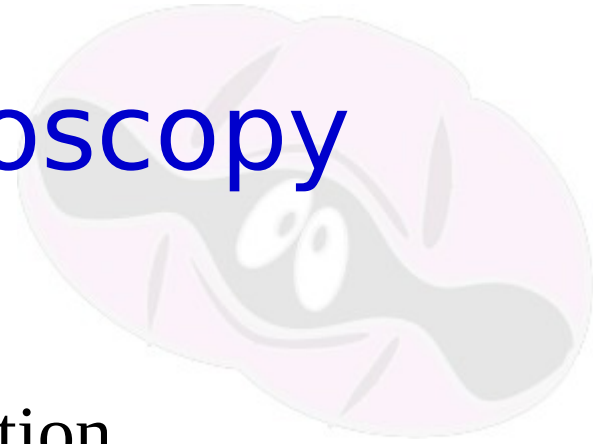
$$\epsilon(\omega) = 1 + \alpha(\omega)$$

Real time
spectroscopy

$$\epsilon(\omega) = 1 + \frac{\Delta P(\omega)}{E(\omega)}$$



Frequency space spectroscopy



Solve a Dyson equation for the response function

$$L(\omega) = L^0(\omega) + L^0(\omega)(v + K_{xc})L(\omega)$$

$$\alpha(\omega) = \sum_{ij,hk} d_{ij} L_{ij,hk}(\omega) d_{hk}$$

Only equilibrium quantities are needed

$$\psi_{nk}^{KS}(x) \quad \epsilon_{nk}^{KS}$$

Real Time spectroscopy



perturbation

excitation

response

Real Time spectroscopy

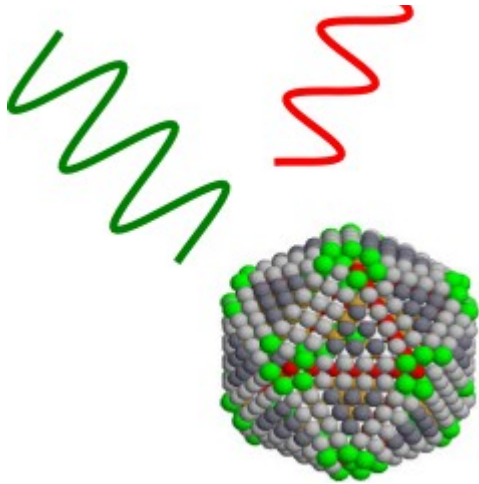


- 1) Choose an **external small perturbation $E(t)$**
- 2) Evolve some (?) **equation**
- 3) Calculate the **$P(t)$ from such equation**

Fourier transform $P(t)$ and $E(t) \rightarrow \alpha(\omega) \approx \frac{\Delta P(\omega)}{E(\omega)}$

Real Time spectroscopy with Yambo

Perturbation:
Remove symm. with
ypp_rt / ypp_nl

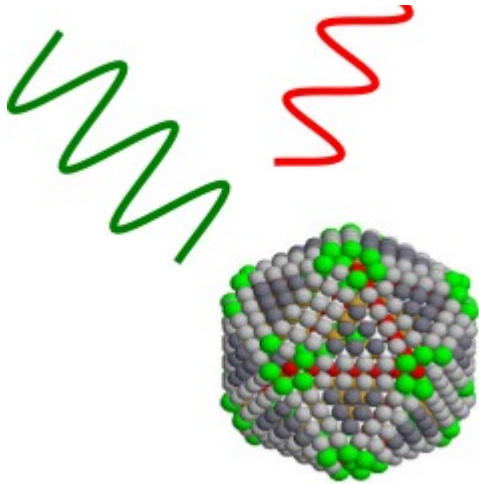


$$E(t) = \delta(t - t_0) E_0$$

$$E(t) = \sin(\omega t) E_0$$

Real Time spectroscopy with Yambo

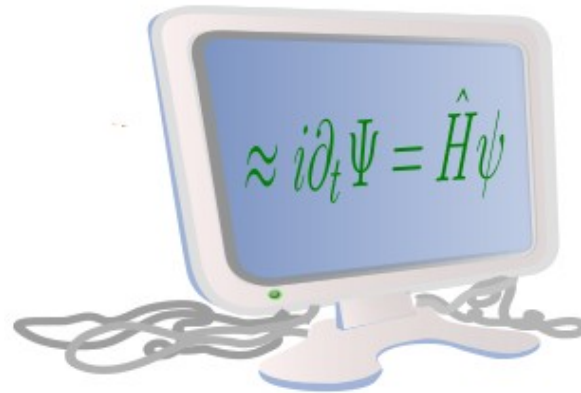
Perturbation:
Remove symm. with
ypp_rt / ypp_nl



$$E(t) = \delta(t - t_0) E_0$$

$$E(t) = \sin(\omega t) E_0$$

Time propagation
with **yambo_rt**
or **yambo_nl**

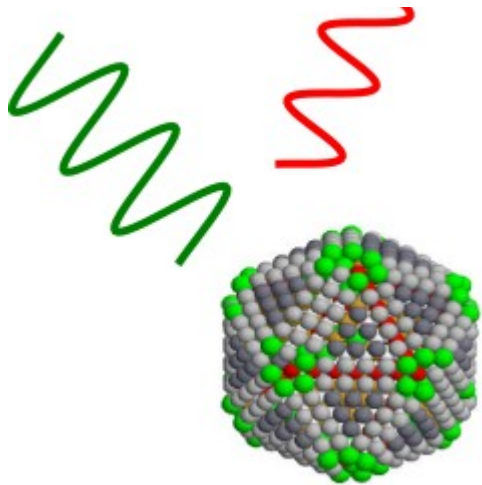


$$\rho(t+dt) = \rho(t) - i\Delta t [H, \rho(t)]$$

$$\Psi(t+\Delta t) = \Psi(t) - i\Delta t H \Psi(t)$$

Real Time spectroscopy with Yambo

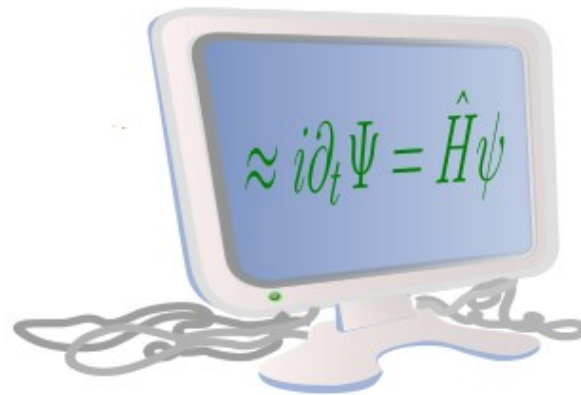
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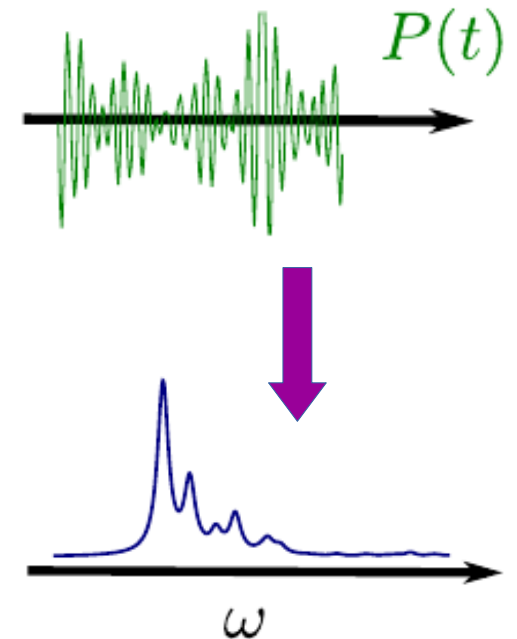
Time propagation
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$$\rho(t+dt) = \rho(t) - i\Delta t [H, \rho(t)]$$

$$\Psi(t+\Delta t) = \Psi(t) - i\Delta t H \Psi(t)$$

Analyse the
results with
ypp_rt or ypp_nl

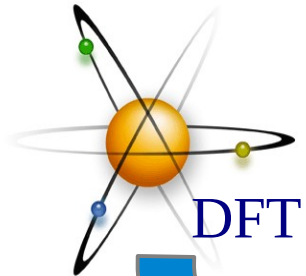
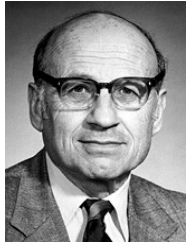


ab initio Many Body Pert. Theory

DFT

$$\left[\frac{-\nabla^2}{2} + v_s(r) \right] \psi_{nk}(r) = \epsilon_{nk} \psi_{nk}(r)$$

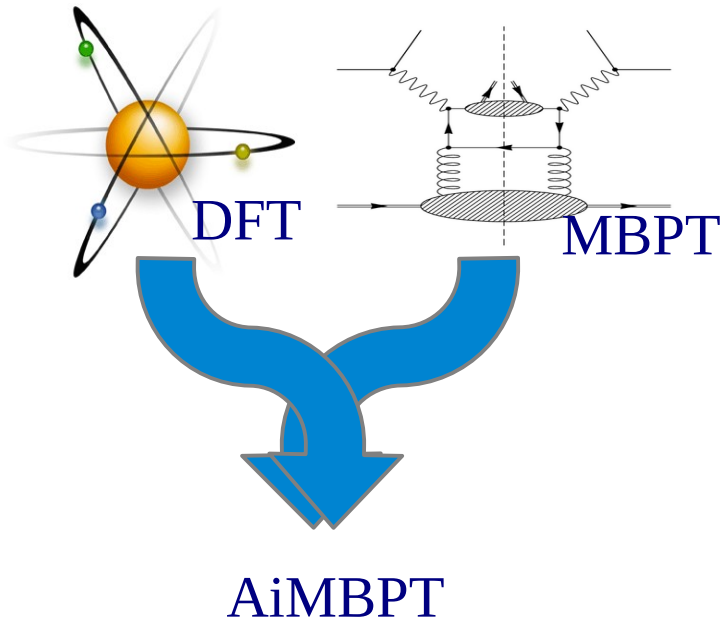
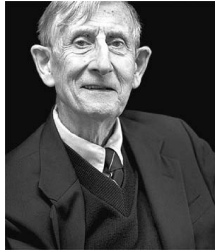
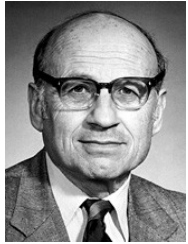
$$v_s(r) = v_{ions}(r) + v_{Hxc}[n](r)$$



AiMBPT

G. Onida, L. Reining, and A. Rubio,
Rev. Mod. Phys. **74**, 601 (2002)

ab initio Many Body Pert. Theory



G. Onida, L. Reining, and A. Rubio,
Rev. Mod. Phys. **74**, 601 (2002)

DFT

$$\left[\frac{-\nabla^2}{2} + v_s(r) \right] \psi_{nk}(r) = \epsilon_{nk} \psi_{nk}(r)$$

$$v_s(r) = v_{ions}(r) + v_{Hxc}[n](r)$$

+

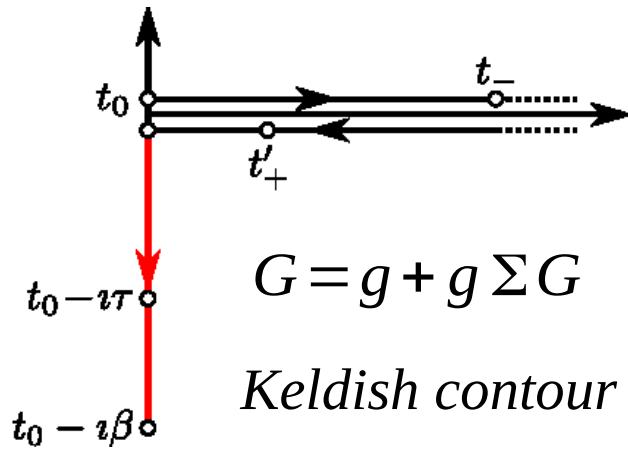
MBPT

$$G_{KS}^{(r)}(r, r', \omega) = \sum_{nk} \frac{\psi_{nk}^*(r) \psi_{nk}(r')}{\omega - \epsilon_{nk}^{KS} + i\eta}$$

$$\Sigma = \text{[Self-energy diagrams]}$$

$$\epsilon_{nk}^{QP} = \epsilon_{nk}^{KS} + \langle \Sigma(\epsilon^{QP}) - V_{Hxc} \rangle$$

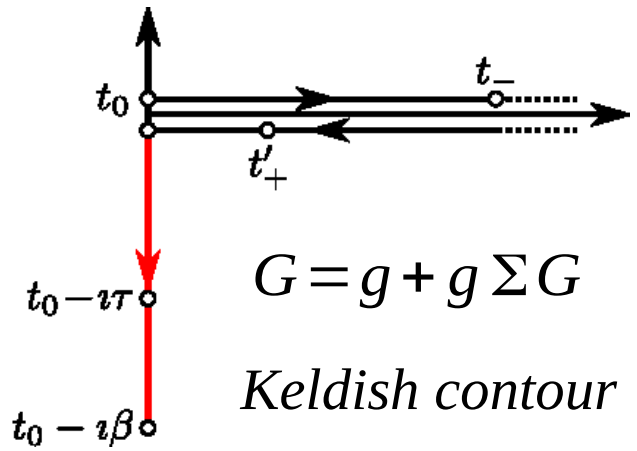
Non-Equilibrium Green Function



$$\begin{array}{cc}
 G^{<}(t, t') & G^{>}(t, t') \\
 G^{(r)}(t, t') & G^{(a)}(t, t')
 \end{array}$$

*back on the
real axis*

Non-Equilibrium Green Function



$$G = g + g \Sigma G$$

Keldish contour



$$\begin{matrix} G^<(t, t') & G^>(t, t') \\ G^{(r)}(t, t') & G^{(a)}(t, t') \end{matrix}$$

*back on the
real axis*

Kadanoff-Baym equation

$$\begin{cases} \left[i \frac{d}{dt} - h_{\text{HF}}(t) \right] G^<(t, t') = I^<(t, t') \\ G^>(t, t') \left[-i \frac{d}{dt'} - h_{\text{HF}}(t') \right] = I^>(t, t') \end{cases}$$

I collision integral

Equation of motion for rho

$$\rho(t) = -iG^<(t, t)$$



Equation of motion for rho

$$\rho(t) = -iG^<(t, t)$$

$$\frac{d}{dt}\rho(t) + i[h_{\text{HF}}(t), \rho(t)] = - (I^<(t, t) + \text{h.c.})$$

With the collision integral the equation is closed only within the Generalized Kadanoff Baym Ansatz

Equation of motion for rho

$$\rho(t) = -iG^<(t, t)$$

$$\frac{d}{dt}\rho(t) + i[h_{HF}(t), \rho(t)] = 0$$

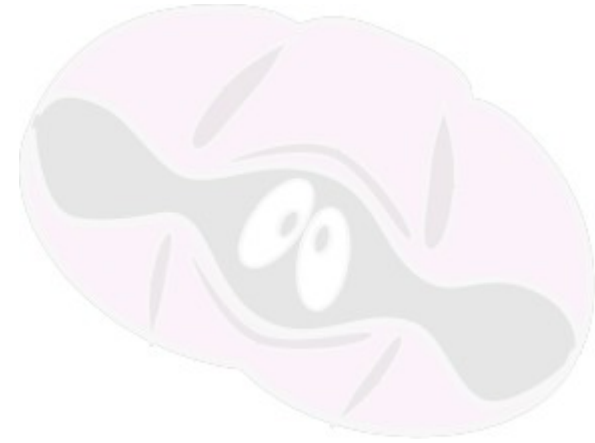
Neglecting the collision integral the equation is closed

Any hamiltonian containing a static self-energy can be used

$$h_{HF}(t) = h_0 + \Sigma_{HF}[\rho(t)] + U^{ext}(t)$$

$$h_{HSEX}(t) = h_0 + \Sigma_{HSEX}[\rho(t)] + U^{ext}(t)$$

ab initio NEGF



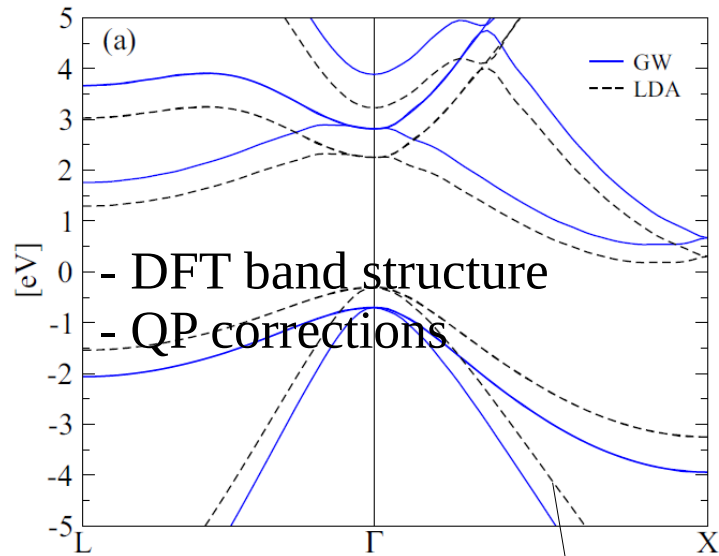
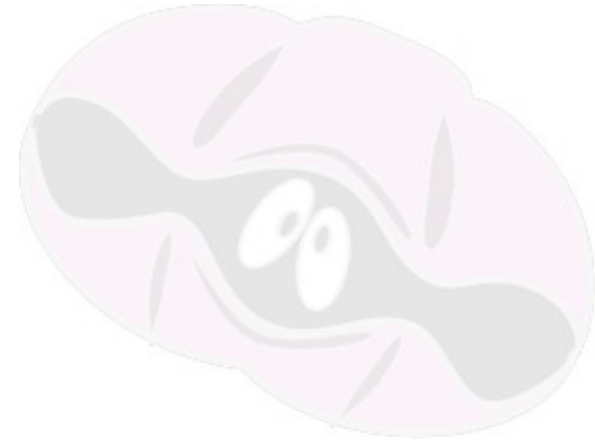
$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta V_H + \Delta \Sigma_{xc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = 0$$

$$\rho_{nm\mathbf{k}}(t) = \langle \psi_{n\mathbf{k}}^{KS} | \rho(r, r'; t) | \psi_{m\mathbf{k}}^{KS} \rangle$$

$$\rho_{nm\mathbf{k}}^{eq} = \delta_{n,m} f_{n\mathbf{k}}^{eq}$$

Density matrix

ab initio NEGF



Many body effects

Pump laser pulse

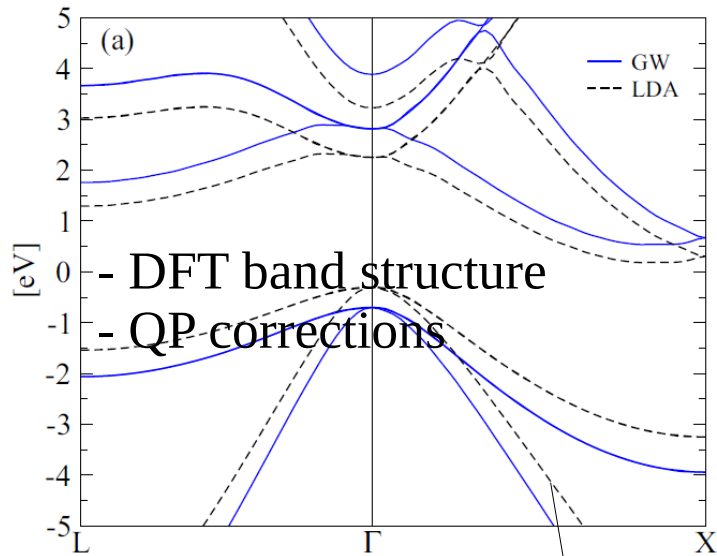
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Density matrix

ab initio NEGF



Many body effects

Pump laser pulse

Comparison with TDDFT:

- Mean field effects can be non local in space
- Dynamical effects can be introduced in a controlled manner

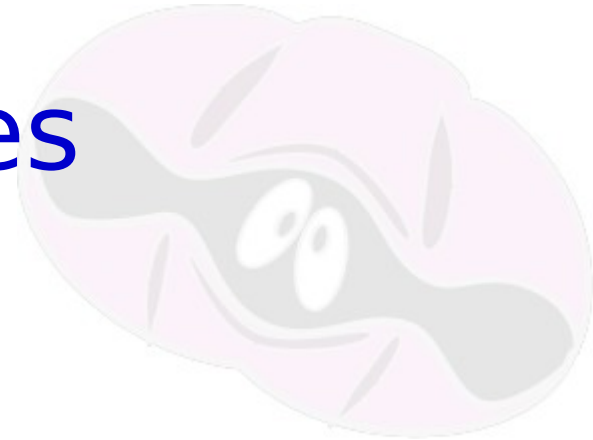
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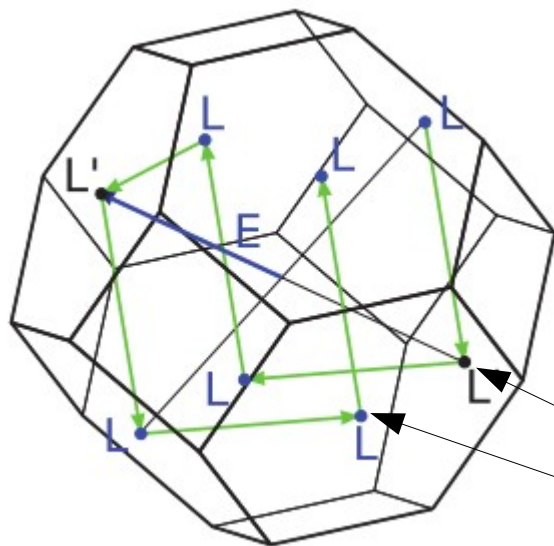
$$\rho_{nm\mathbf{k}}^{eq} = \delta_{n,m} f_{n\mathbf{k}}^{eq}$$

Density matrix

Remove symmetries



$$U^{\text{ext}}(t) = -e \sum_{nm\mathbf{k}} \rho_{nm\mathbf{k}}(t) \mathbf{x}_{nm\mathbf{k}} \circ \mathbf{E}(t)$$



**Symmetry
breaking !**

Before performing real-time propagation
symmetries need to be removed

$$\rho_{nm\mathbf{k}}(t) = \langle \psi_{n\mathbf{k}}^{KS} | \rho(r, r'; t) | \psi_{m\mathbf{k}}^{KS} \rangle$$

The Equation of motion

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta V_H + \Delta \Sigma_{xc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = 0$$

QP energies

$$H_{nmk}^{eq} = \delta_{nm} \epsilon_{nk}^{QP}$$

All correlations from
the ground state are here

The Equation of motion

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i \left[h^{eq} + \Delta V_H + \Delta \Sigma_{xc}^{static} + U^{ext}(t), \rho(t) \right]_{nm\mathbf{k}} = 0$$

QP energies

$$H_{nmk}^{eq} = \delta_{nm} \epsilon_{nk}^{QP}$$

Classical field

$$\Delta V_H$$

The Equation of motion

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i \left[h^{eq} + \Delta V_H + \Delta \Sigma_{xc}^{static} + U^{ext}(t), \rho(t) \right]_{nm\mathbf{k}} = 0$$

QP energies

$$H_{nm\mathbf{k}}^{eq} = \delta_{nm} \epsilon_{nk}^{QP}$$

Classical field

$$\Delta V_H$$

xc-correlation

$$\begin{aligned} \Delta \Sigma_s &= v_{xc}^{adiabatic} \\ &= \Delta \Sigma^{SEX} \approx W_s^{eq} \Delta \rho \end{aligned}$$

The Equation of motion

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = -\eta \rho_{nm\mathbf{k}}(t)$$

QP energies

$$H_{nmk}^{eq} = \delta_{nm} \epsilon_{nk}^{QP}$$

Classical field

$$\Delta V_H$$

xc-correlation

$$\begin{aligned} \Delta \Sigma_s &= v_{xc}^{adiabatic} \\ &= \Delta \Sigma^{SEX} \approx W_s^{eq} \Delta \rho \end{aligned}$$

Constant dephasing

$$I_{nmk}(t) = -\eta \rho_{nmk}(t)$$

QP dephasing

$$\begin{aligned} I_{nmk}(t) &= -\gamma_{nmk}^{eq} \rho_{nmk}(t) \\ \gamma_{nmk}^{eq} &= \Im[\Sigma_{nk}] + \Im[\Sigma_{mk}] \end{aligned}$$

The Hartree term

$$\frac{d}{dt}\rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta\Sigma_{Hxc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = -\eta\rho_{nm\mathbf{k}}(t)$$

Classical field

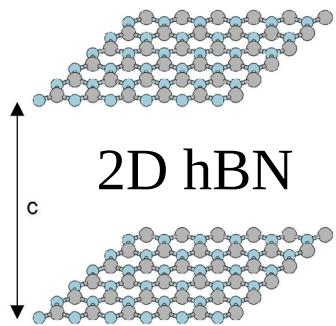
$$\Delta V_H[\rho]$$

Macroscopic part

Microscopic part

The Hartree term

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = -\eta \rho_{nm\mathbf{k}}(t)$$



Classical field

$$\Delta V_H[\rho]$$

Macroscopic part

Microscopic part

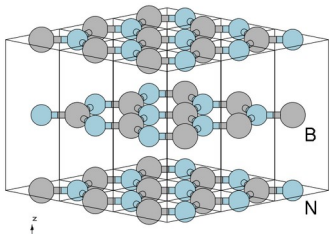
This is the average over the cell
→ it is zero for any system with
dimensionality $D < 3$

“Local fields”

The Hartree term: 3D systems

$$\frac{d}{dt}\rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta\Sigma_{Hxc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = -\eta\rho_{nm\mathbf{k}}(t)$$

3D hBN



Classical field

$$\Delta V_H[\rho]$$

Macroscopic part

Non analytical in ρ ,
L-T splitting

Not included in V_H ,
but absorbed in U^{ext}

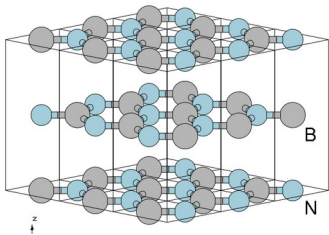
Microscopic part

“Local fields”

The Hartree term: 3D systems

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{tot}(t), \rho(t)]_{nm\mathbf{k}} = -\eta \rho_{nm\mathbf{k}}(t)$$

3D hBN



Classical field

$$\Delta V_H[\rho]$$

Macroscopic part

Non analytical in ρ ,
L-T splitting

Not included in V_H ,
but absorbed in $U^{ext} \rightarrow U^{tot}$

Microscopic part

“Local fields”

The xc term

$$\frac{d}{dt}\rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta\Sigma_{Hxc}^{static} + U^{tot}(t), \rho(t)]_{nm\mathbf{k}} = -\eta\rho_{nm\mathbf{k}}(t)$$

$$\Delta\Sigma_{Hxc} \approx \frac{\delta\Sigma_{Hxc}^{static}}{\delta\rho}[\rho^{eq}] \Delta\rho$$

Exact for functionals
linear in ρ

The xc term

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{tot}(t), \rho(t)]_{nm\mathbf{k}} = -\eta \rho_{nm\mathbf{k}}(t)$$

BSE kernel or real-time collisions

$$\Delta \Sigma_{Hxc} \approx K_{Hxc}^{static} [\rho^{eq}] \Delta \rho$$

Exact for functionals
linear in ρ

$$\partial_t \rho_{nm\mathbf{k}}^{(1)}(t) - i [\Delta \epsilon_{nm\mathbf{k}} \delta_{n,i} \delta_{m,j} \delta(k-p) + \Delta f_{nm\mathbf{k}} K_{nm\mathbf{k},ijp}] \Delta \rho_{ijp}^{(1)} + \dots$$

Bethe-Salpeter equation (for \bar{L} since we use U^{tot})

The xc term

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{tot}(t), \rho(t)]_{nm\mathbf{k}} = -\eta \rho_{nm\mathbf{k}}(t)$$

BSE kernel or real-time collisions

$$\Delta \Sigma_{Hxc} \approx K_{Hxc}^{static} [\rho^{eq}] \Delta \rho \quad \text{Exact for functionals linear in } \rho$$

$$\partial_t \rho_{nmk}^{(1)}(t) - i [\Delta \epsilon_{nmk} \delta_{n,i} \delta_{m,j} \delta(k-p) + \Delta f_{nmk} K_{nmk,ijp}] \Delta \rho_{ijp}^{(1)} + \dots$$

Bethe-Salpeter equation (for \bar{L} since we use U^{tot})

$$\partial_t \rho_{\lambda}^{(1)}(t) - i E_{\lambda} \Delta \rho_{\lambda}^{(1)} + \dots$$

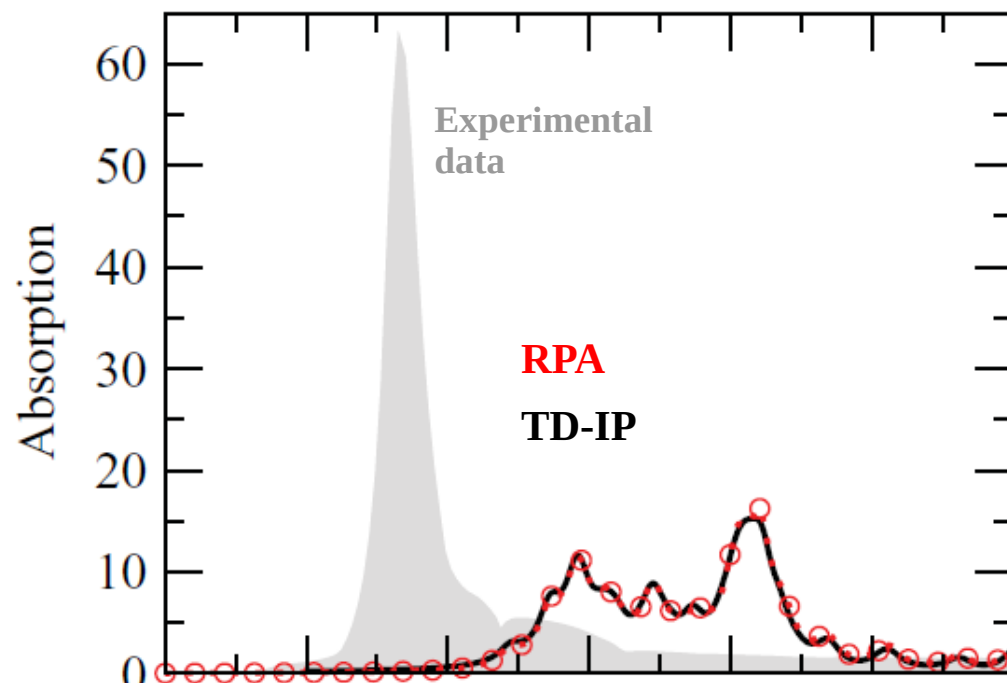
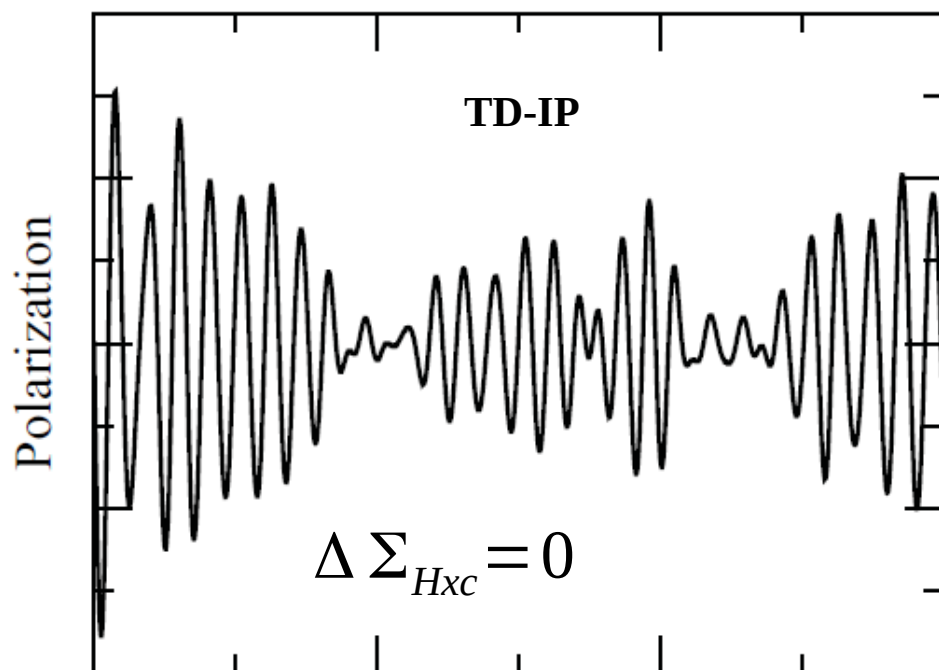
$$\partial_t \rho_{\lambda}^{(1)}(t) \approx e^{-iE_{\lambda}t}$$

Post-processing: real-time vs chi

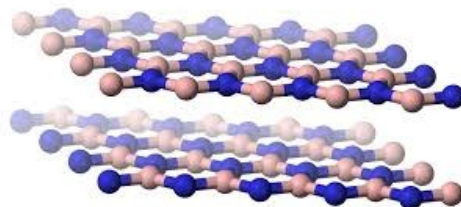
$$P(t) \xrightarrow{\text{Fourier transform}} \epsilon(\omega) = 1 + \frac{P(\omega)}{E(\omega)}$$

Post-processing: real-time vs chi

$$P(t) \xrightarrow{\text{Fourier transform}} \epsilon(\omega) = 1 + \frac{P(\omega)}{E(\omega)}$$

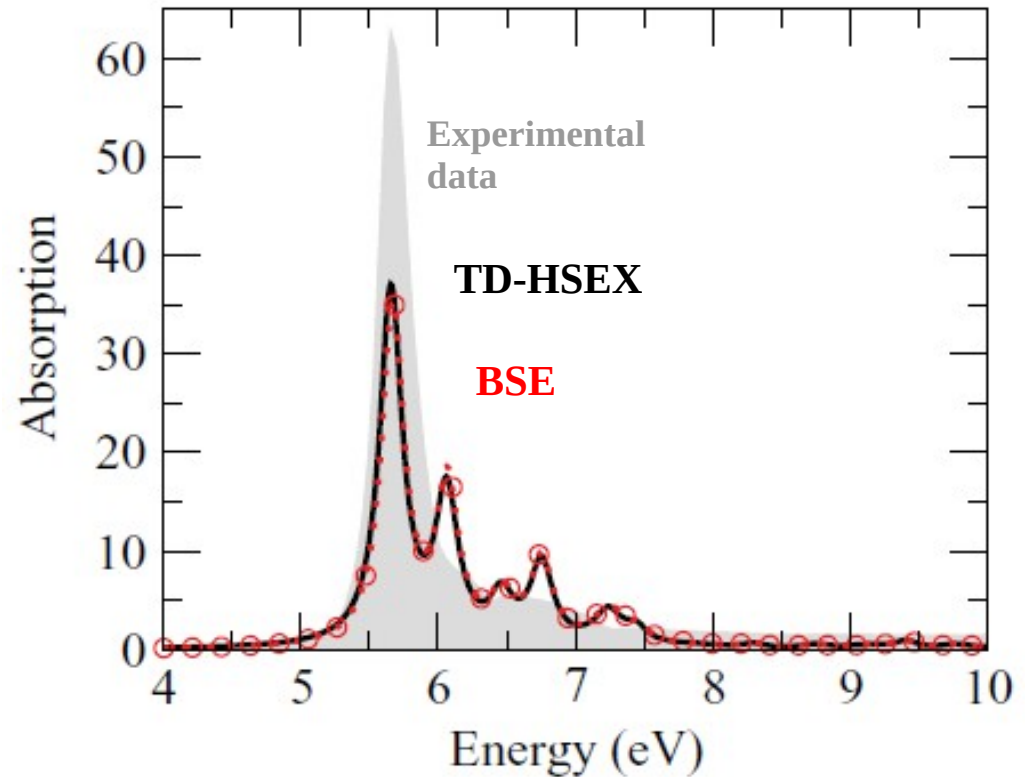
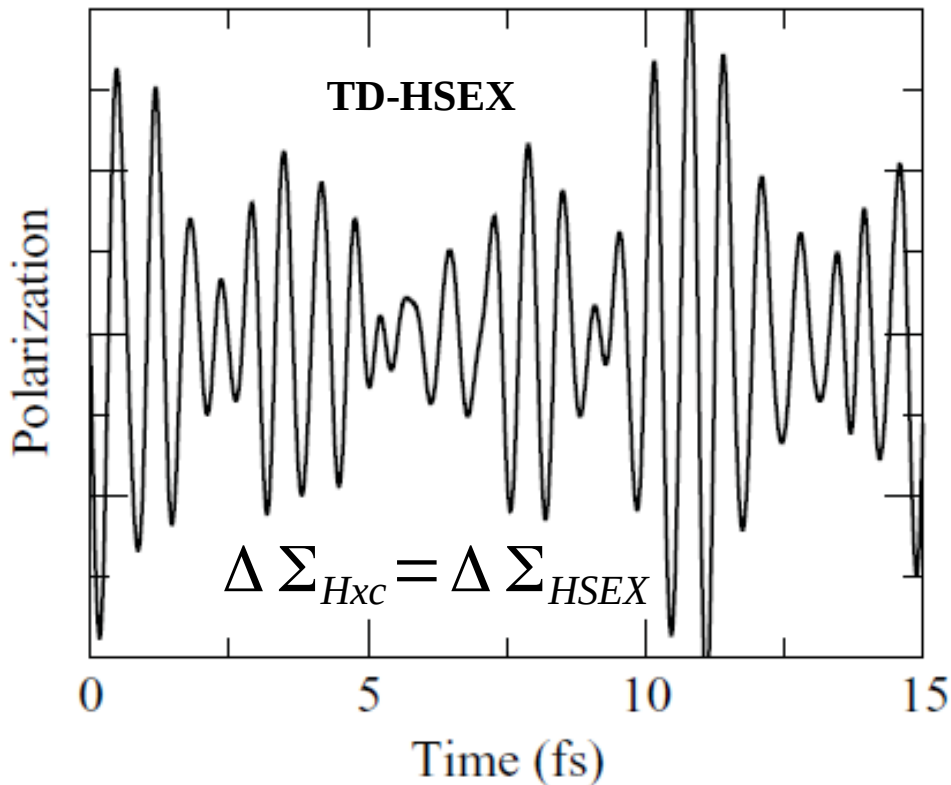


Hexagonal Boron Nitride

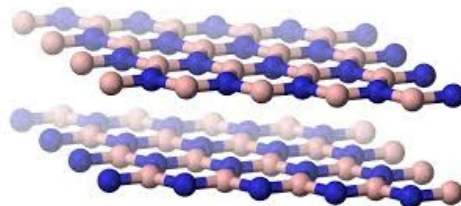


Post-processing: real-time vs chi

$$P(t) \xrightarrow{\text{Fourier transform}} \epsilon(\omega) = 1 + \frac{P(\omega)}{E(\omega)}$$



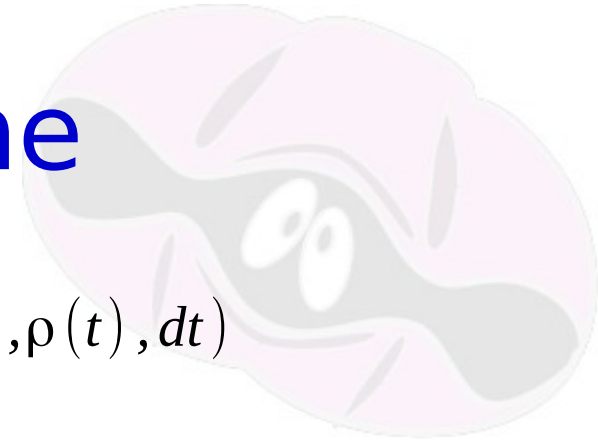
Hexagonal Boron Nitride



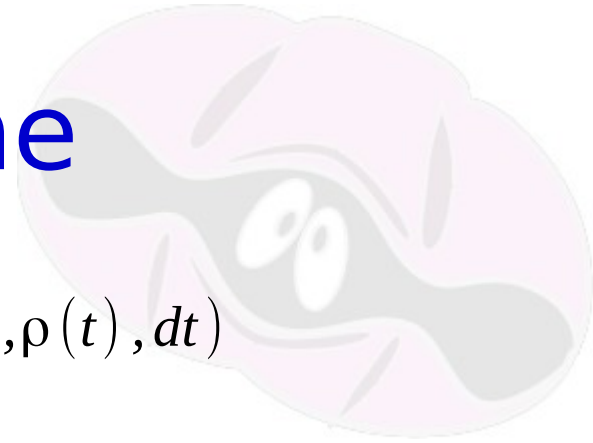
Propagation scheme

Single step:

$$\rho(t+dt) = \rho(t) + F(t, \rho(t), dt)$$



Propagation scheme



Single step:

$$\rho(t+dt) = \rho(t) + F(t, \rho(t), dt)$$

Eulero

$$\rho(t+dt) = \rho(t) + i[H(t), \rho(t)] dt$$

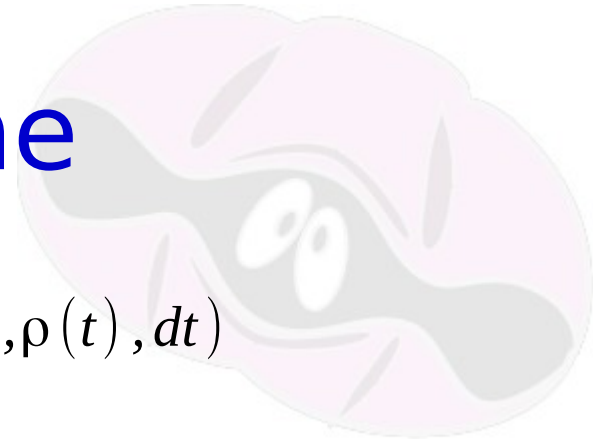
Exponential

$$\rho(t+dt) = \rho(t) + e^{iH(t)dt} \rho(t) e^{-iH(t)dt}$$

Inversion (implicit)

$$\rho(t+dt) = \rho(t) + \frac{1+iH(t)dt/2}{1-iH(t)dt/2} \rho(t) \frac{1-iH(t)dt/2}{1+iH(t)dt/2}$$

Propagation scheme



Single step:

$$\rho(t+dt) = \rho(t) + F(t, \rho(t), dt)$$

Eulero

$$\rho(t+dt) = \rho(t) + i[H(t), \rho(t)] dt$$

Exponential

$$\rho(t+dt) = \rho(t) + e^{iH(t)dt} \rho(t) e^{-iH(t)dt}$$

Inversion (implicit)

$$\rho(t+dt) = \rho(t) + \frac{1+iH(t)dt/2}{1-iH(t)dt/2} \rho(t) \frac{1-iH(t)dt/2}{1+iH(t)dt/2}$$

Multi-step (2 steps)

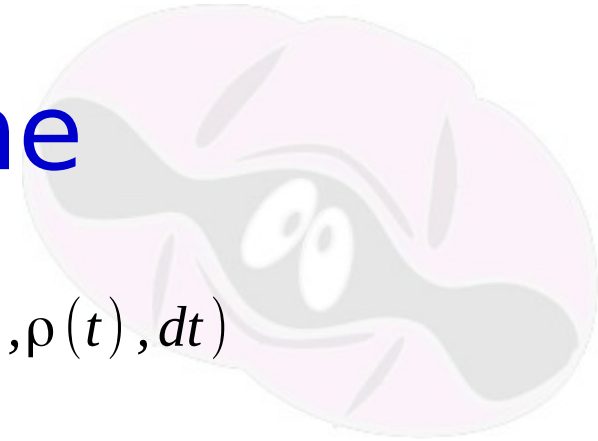
Runge Kutta 2nd order

$$\rho(t+dt) = \rho(t) + F(t+dt/2, \rho(t+dt/2), dt)$$

Heun

$$\rho(t+dt) = \rho(t) + F(t, \rho(t), dt) + F_{TMP}(t+dt, \rho_{TMP}(t+dt), dt)$$

Propagation scheme



Single step: $\rho(t+dt) = \rho(t) + F(t, \rho(t), dt)$

Eulero $\rho(t+dt) = \rho(t) + i[H(t), \rho(t)] dt$

Exponential $\rho(t+dt) = \rho(t) + e^{iH(t)dt} \rho(t) e^{-iH(t)dt}$

Inversion (implicit) $\rho(t+dt) = \rho(t) + \frac{1+iH(t)dt/2}{1-iH(t)dt/2} \rho(t) \frac{1-iH(t)dt/2}{1+iH(t)dt/2}$

Multi-step (2 steps)

Runge Kutta 2nd order $\rho(t+dt) = \rho(t) + F(t+dt/2, \rho(t+dt/2), dt)$

Heun $\rho(t+dt) = \rho(t) + F(t, \rho(t), dt) + F_{TMP}(t+dt, \rho_{TMP}(t+dt), dt)$

Gauges

Length gauge $E \cdot P$:
$$U^{ext}(t) = -e \sum_{nmk} \rho_{nmk}(t) \mathbf{x}_{nmk} \circ \mathbf{E}(t)$$

$$\mathbf{P}(t) = e \sum_{nmk} \rho_{nmk}(t) \mathbf{x}_{nmk}$$

(i) field coupling

(ii) observable

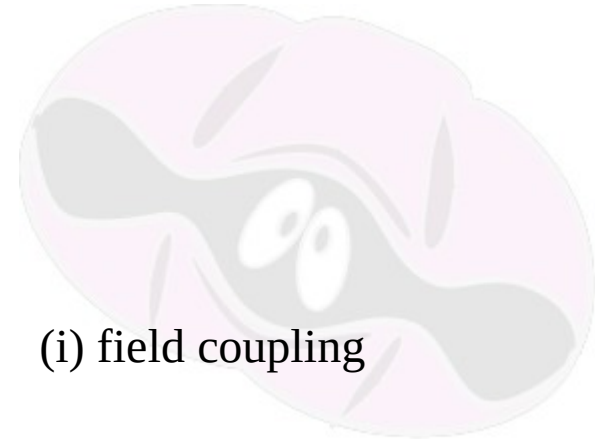
Velocity gauge $A \cdot j$:
$$U^{ext}(t) = -e \sum_{nmk} \rho_{nmk}(t) \mathbf{v}_{nmk} \circ \mathbf{A}(t)$$

$$\mathbf{J}(t) = e \sum_{nmk} \rho_{nmk}(t) \mathbf{v}_{nmk}$$

(i) field coupling

(ii) observable

$$\mathbf{x}_{nmk}(t) = \frac{\mathbf{v}_{nmk}}{\Delta \epsilon_{nmk}}$$



Gauges

Length gauge E*P: $U^{ext}(t) = -e \sum_{nmk} \rho_{nmk}(t) \mathbf{x}_{nmk} \circ \mathbf{E}(t)$

$$\mathbf{P}(t) = e \sum_{nmk} \rho_{nmk}(t) \mathbf{x}_{nmk}$$

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(ii) observable

Velocity gauge A*j: $U^{ext}(t) = -e \sum_{nmk} \rho_{nmk}(t) \mathbf{v}_{nmk} \circ \mathbf{A}(t)$

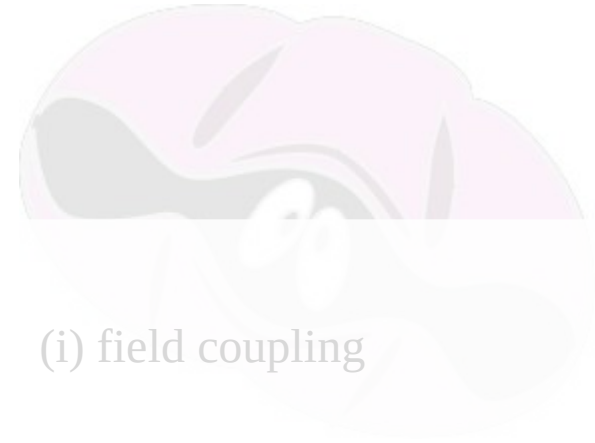
$$\mathbf{J}(t) = e \sum_{nmk} \rho_{nmk}(t) \mathbf{v}_{nmk}$$

(i) field coupling

(ii) observable

\mathbf{v}_{nmk} Intraband dipoles are included → correct at any order

Issue: sum rules need to be imposed (numerically unstable)



Gauges

Coded in `yambo_rt`

Length gauge $\mathbf{E} \cdot \mathbf{P}$:

$$U^{ext}(t) = -e \sum_{nmk} \rho_{nmk}(t) \mathbf{x}_{nmk} \circ \mathbf{E}(t)$$

(i) field coupling

$$\mathbf{P}(t) = e \sum_{nmk} \rho_{nmk}(t) \mathbf{x}_{nmk}$$

(ii) observable

Velocity gauge $\mathbf{A} \cdot \mathbf{j}$:

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(i) field coupling

$$\mathbf{J}(t) = e \sum_{nmk} \rho_{nmk}(t) \mathbf{v}_{nmk}$$

(ii) observable

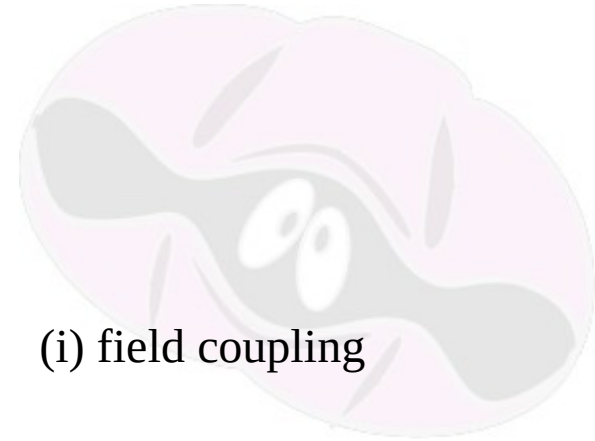
$$\mathbf{x}_{nmk}(t) = \frac{\mathbf{v}_{nmk}}{\Delta \epsilon_{nmk}}$$

Intraband dipoles are ill defined \rightarrow correct up to 1st order

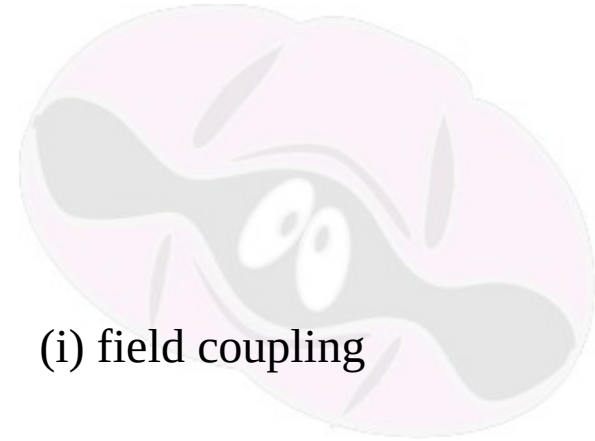
Beyond 1st order:

\rightarrow Berry phase needed beyond 1st order (opt. 1)

\rightarrow Compute the gradient of the density (opt. 2)



Gauges



Coded in `yambo_rt`

Length gauge E*P:

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$$\mathbf{x}_{nmk}(t) = \frac{\mathbf{v}_{nmk}}{\Delta \epsilon_{nmk}}$$

Intraband dipoles are ill defined → correct up to 1st order

Beyond 1st order:

→ Berry phase needed beyond 1st order (opt. 1)

→ Compute the gradient of the density (opt. 2)

Gain with real-time propagation

(1) go beyond the non linear regime up to High Harmonic Generation (HHG)

$$\Delta P(r, t) = \int \alpha(t-t', r, r') E(t', r') dt' dr' + \int dt^1 dt^2 \alpha^2(\dots) E(t^1) E(t^2) + O(E^3)$$

See lecture by M. Gruning

Gain with real-time propagation

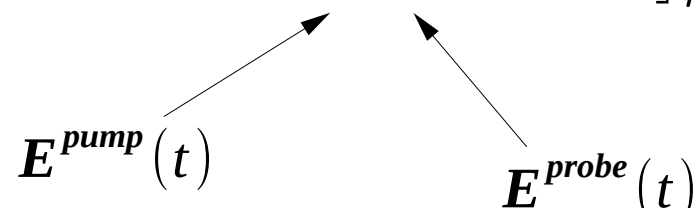
(1) go beyond the non linear regime up to High Harmonic Generation (HHG)

$$\Delta P(r, t) = \int \alpha(t-t', r, r') E(t' r') dt' dr' + \int dt^1 dt^2 \alpha^2(\dots) E(t^1) E(t^2) + O(E^3)$$

(2) Model pump and probe experiments

$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = -\eta \rho_{nm\mathbf{k}}(t)$$

$E^{pump}(t)$ $E^{probe}(t)$



Gain with real-time propagation

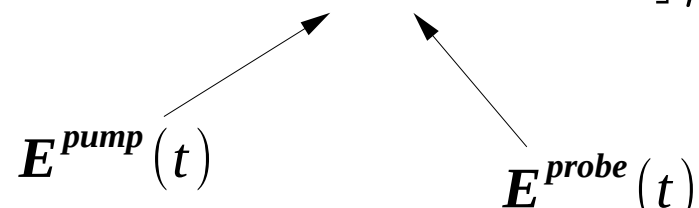
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(2) Model pump and probe experiments

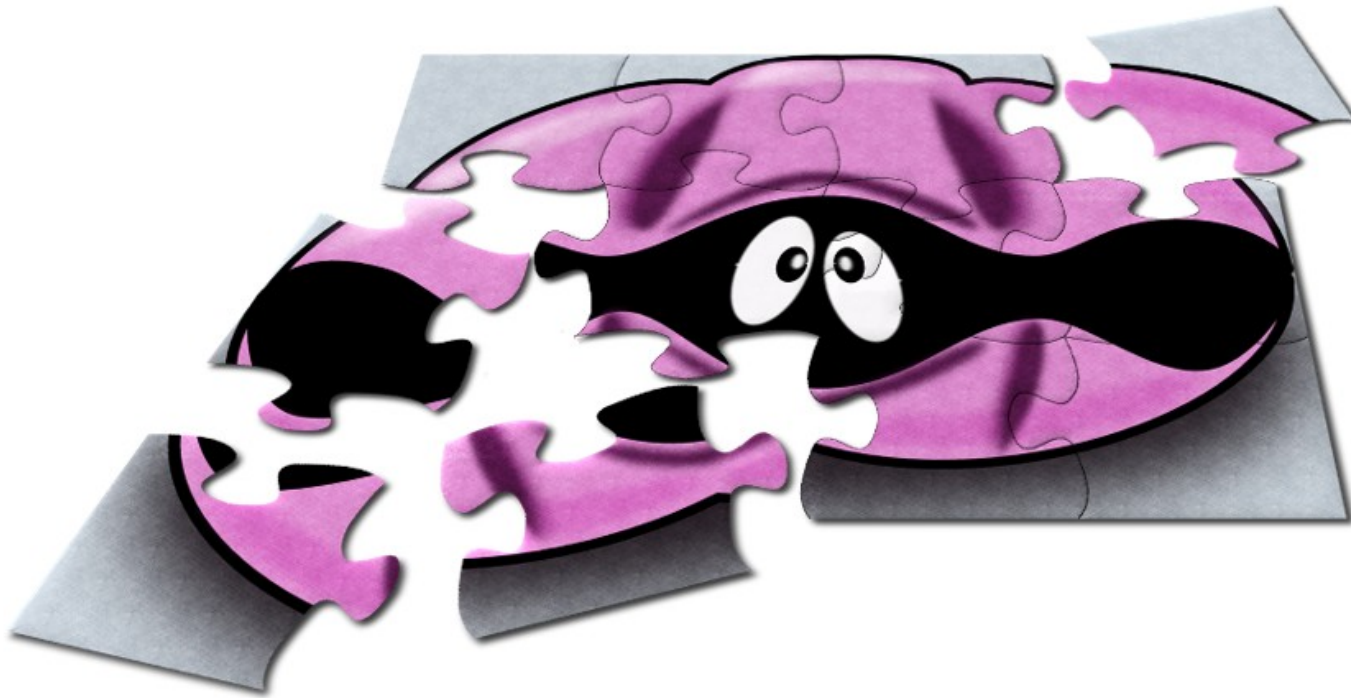
$$\frac{d}{dt} \rho_{nm\mathbf{k}}(t) - i [h^{eq} + \Delta \Sigma_{Hxc}^{static} + U^{ext}(t), \rho(t)]_{nm\mathbf{k}} = - (I^<(t, t) + \text{h.c.})$$

$E^{pump}(t)$ $E^{probe}(t)$



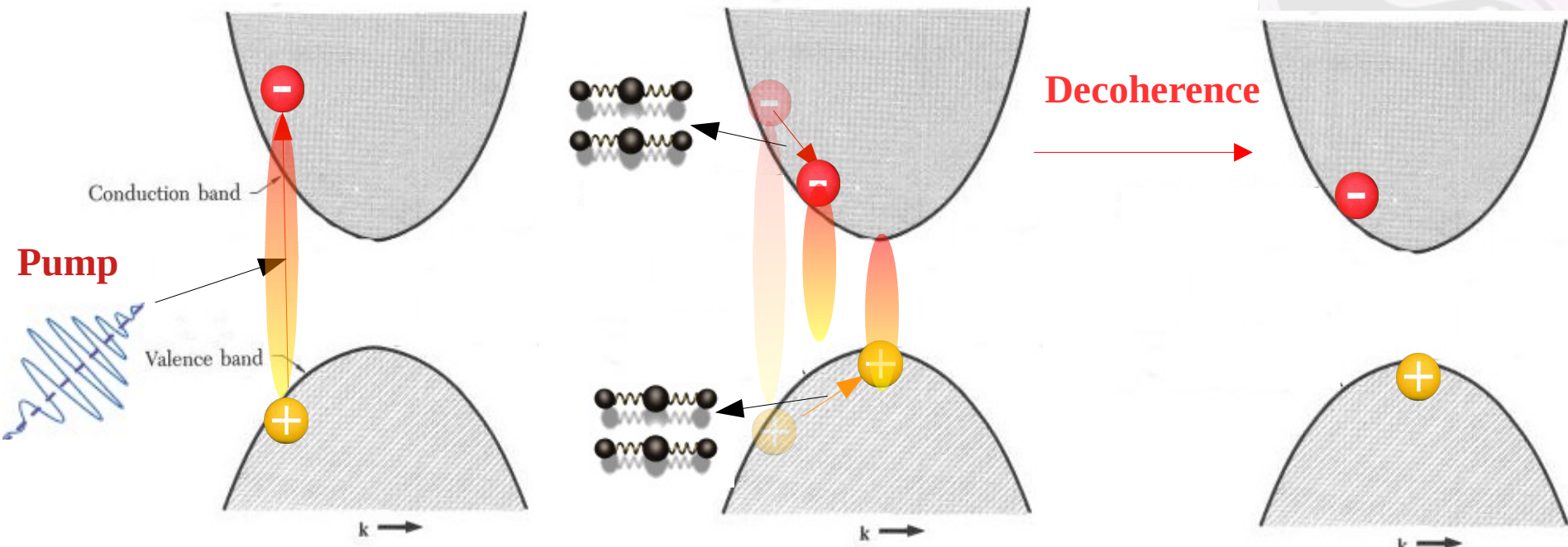
.... also including relaxation and dissipation mechanisms (?)

Questions ?



1. Many-body perturbation theory calculations using the yambo code
Journal of Physics: Condensed Matter 31, 325902 (2019)
2. Yambo: an ab initio tool for excited state calculations
Comp. Phys. Comm. 144, 180 (2009)

Pump and probe experiments



1 - Photo-excitation process

$$\rho_{nmk}(t)$$

2 - relaxation towards (quasi)equilibrium

$$\rho_{cvk+q_1}(t)$$

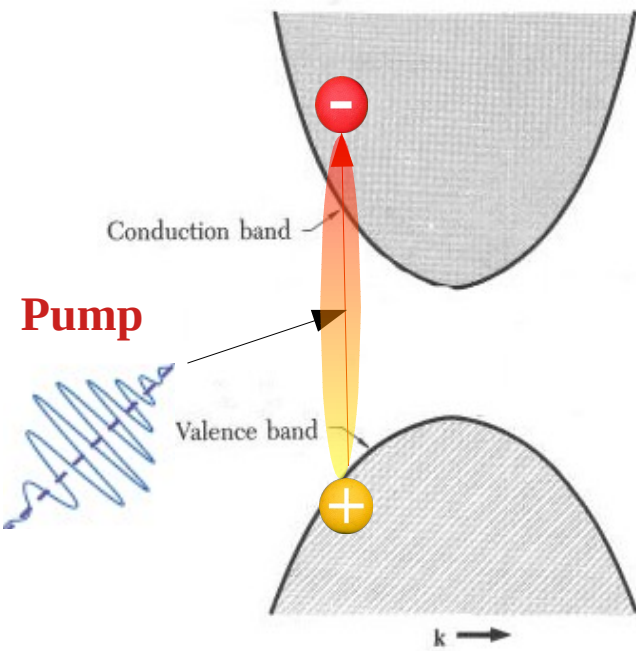
$$\rho_{cvk+q_2}(t)$$

Free carriers approximation

$$\approx \rho_{cc k+q_1}(t) = f_{ck+q_1}(t)$$

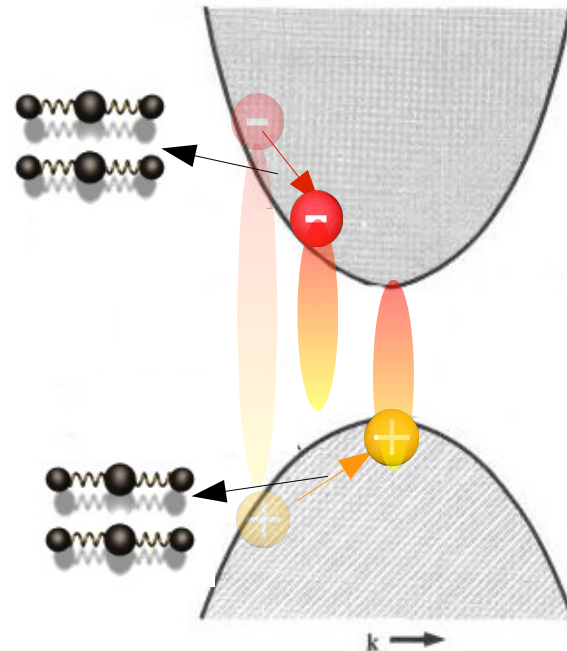
$$\approx \rho_{vv k+q_2}(t) = f_{vk+q_2}(t)$$

Pump and probe experiments



1 – Photo-excitation process

$$\rho_{nmk}(t)$$



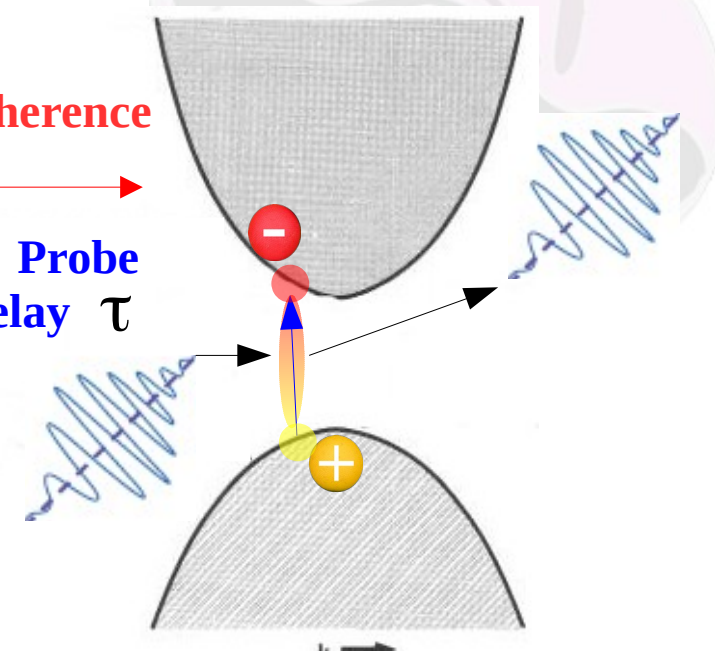
2 – relaxation towards (quasi)equilibrium

$$\rho_{cvk+q_1}(t)$$

$$\rho_{cvk+q_2}(t)$$

Decoherence

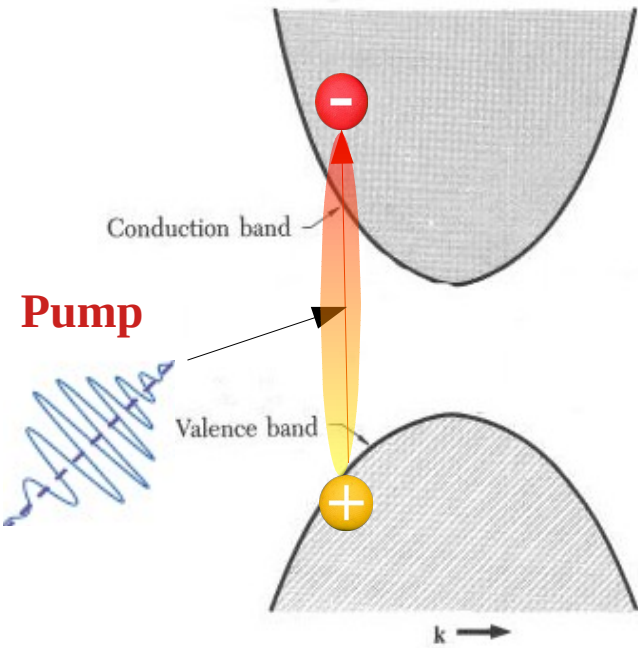
Probe delay τ



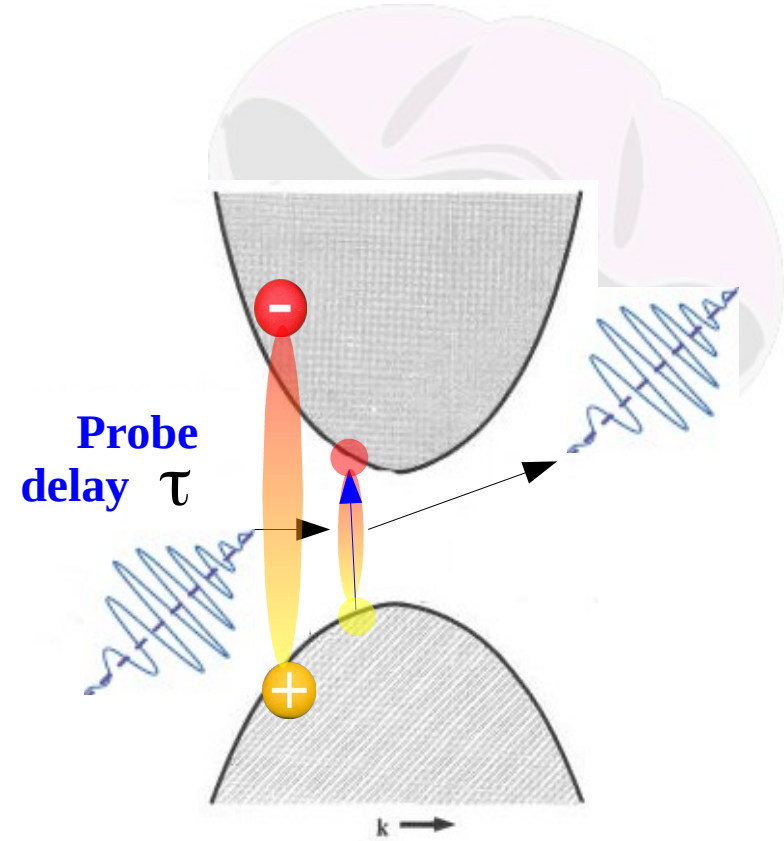
3 – Measurement process

$$\approx \chi(\omega) [f_{nk}(\tau)]$$

Transient absorption



Early times regime:
No dissipation /decoherence



$$\rho_{nmk}(t)$$

$$\chi(\omega, \tau)[\rho_{nmk}] \approx \chi(\omega)[f_{nk}(\tau)]$$

E. Perfetto, D. Sangalli, A. Marini, G. Stefanucci, Phys. Rev. B 92, 205304 (2015)
D. Sangalli, Phys. Rev. Mat. 5, 083803 (2021)

MBPT

1 - Screened interaction

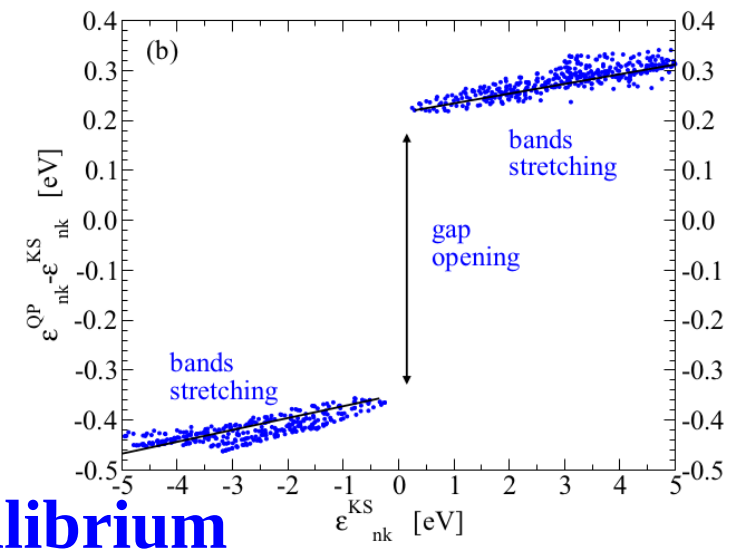
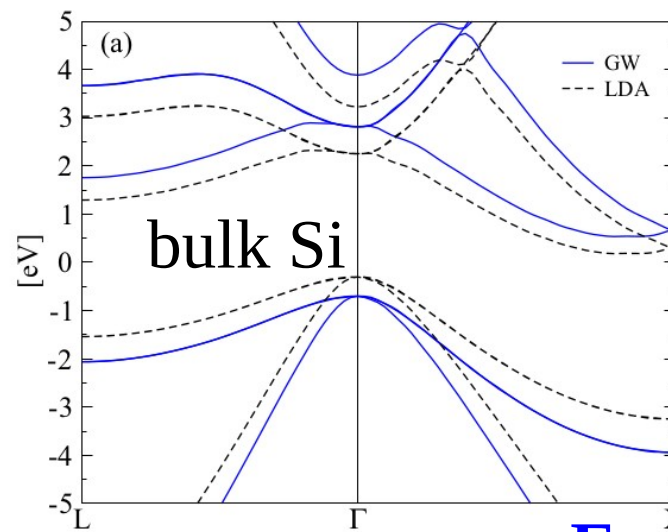
$$W^{RPA} [f_{nk}^{eq}] (\omega)$$

2 - QP corrections

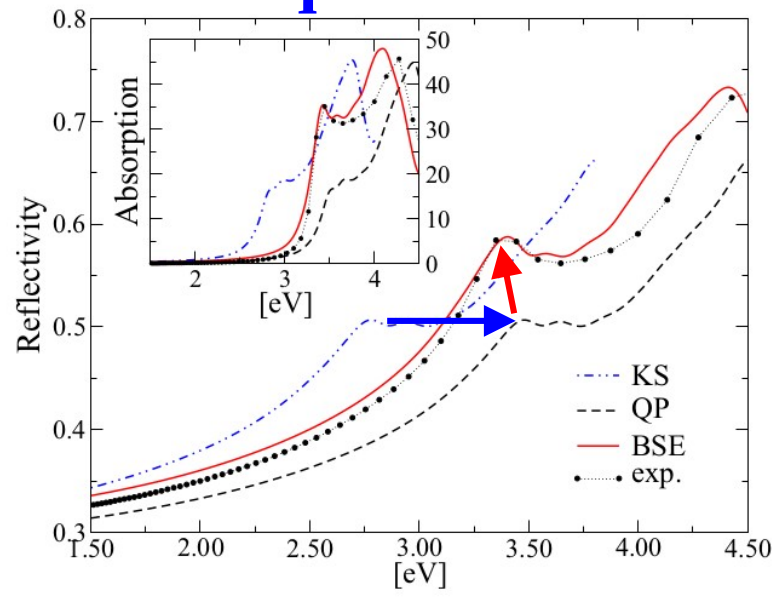
$$\Sigma^{GW} [f_{nk}^{eq}] (\omega)$$

3 - Absorption spectra (exciton)

$$\chi^{GW+BSE} [f_{nk}^{eq}] (\omega)$$



Equilibrium



NEQ-MBPT

1 - Screened interaction

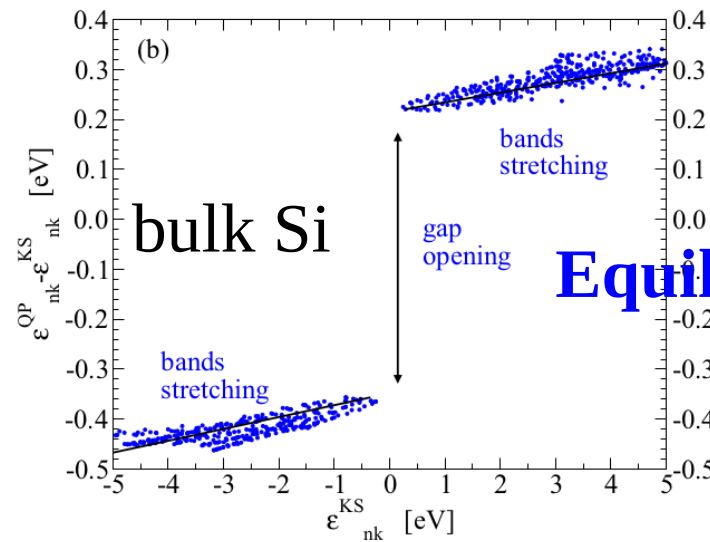
$$W^{RPA} [f_{nk}^{neq}(\tau)](\omega)$$

2 - QP corrections

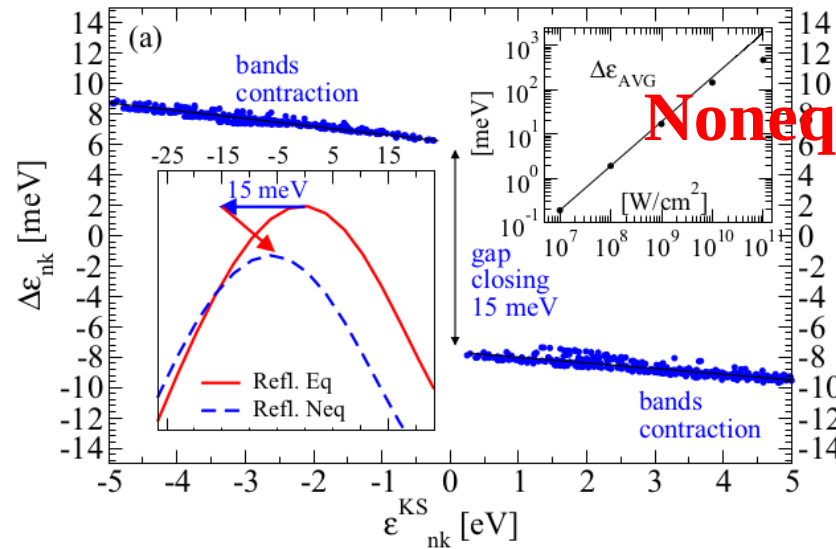
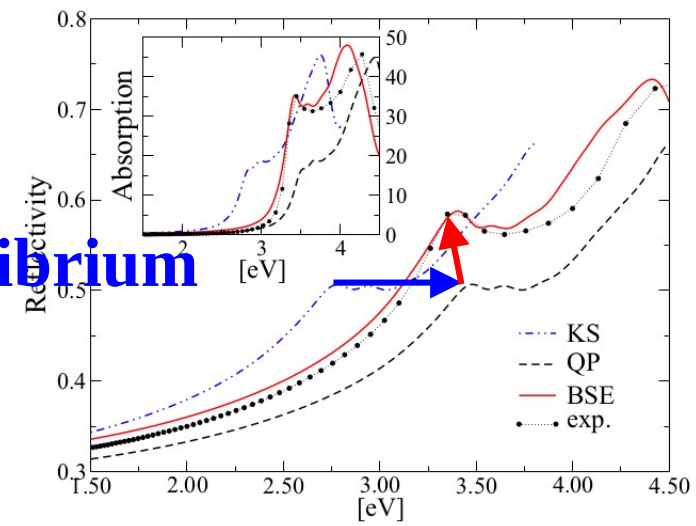
$$\Sigma^{GW} [f_{nk}^{eq}](\omega)$$

3 - Absorption spectra (exciton)

$$\chi^{GW+BSE} [f_{nk}^{eq}](\omega)$$



Equilibrium



Nonequilibrium

NEQ-MBPT

1 - Screened interaction

$$W^{RPA} [f_{nk}^{neq}(\tau)](\omega)$$

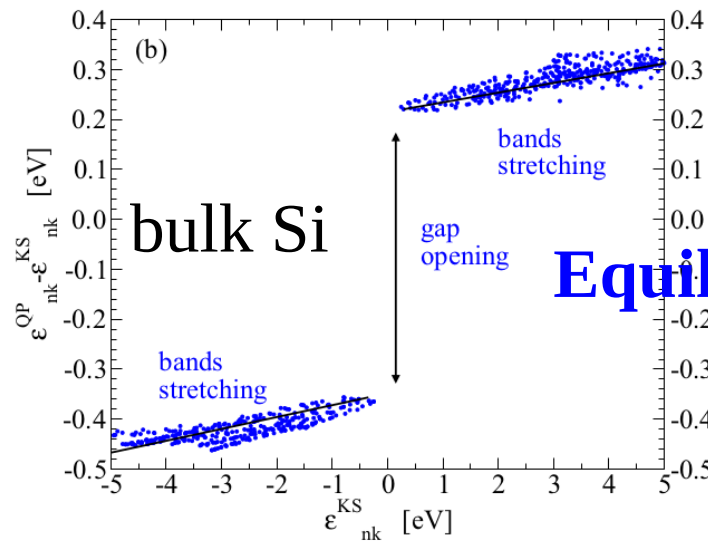
2 - QP corrections

$$\Sigma^{GW} [f_{nk}^{eq}](\omega) +$$

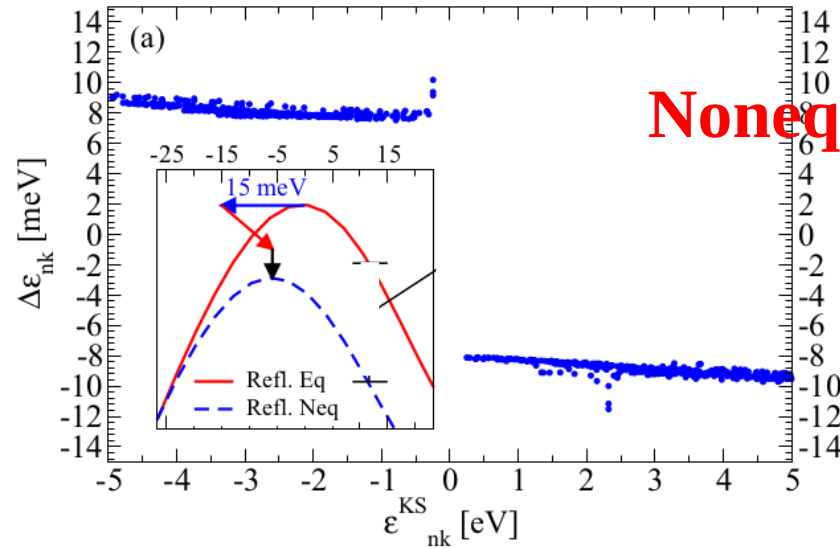
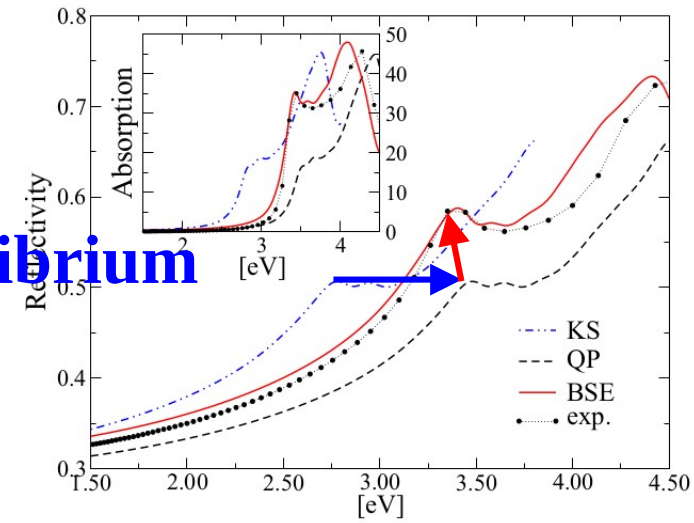
$$+ (\Sigma^{HSEX} [f_{nk}^{neq}(\tau)] - \Sigma_{eq}^{HSEX})$$

3 - Absorption spectra (exciton)

$$\chi^{GW+BSE} [f_{nk}^{neq}(\tau)](\omega)$$



Equilibrium

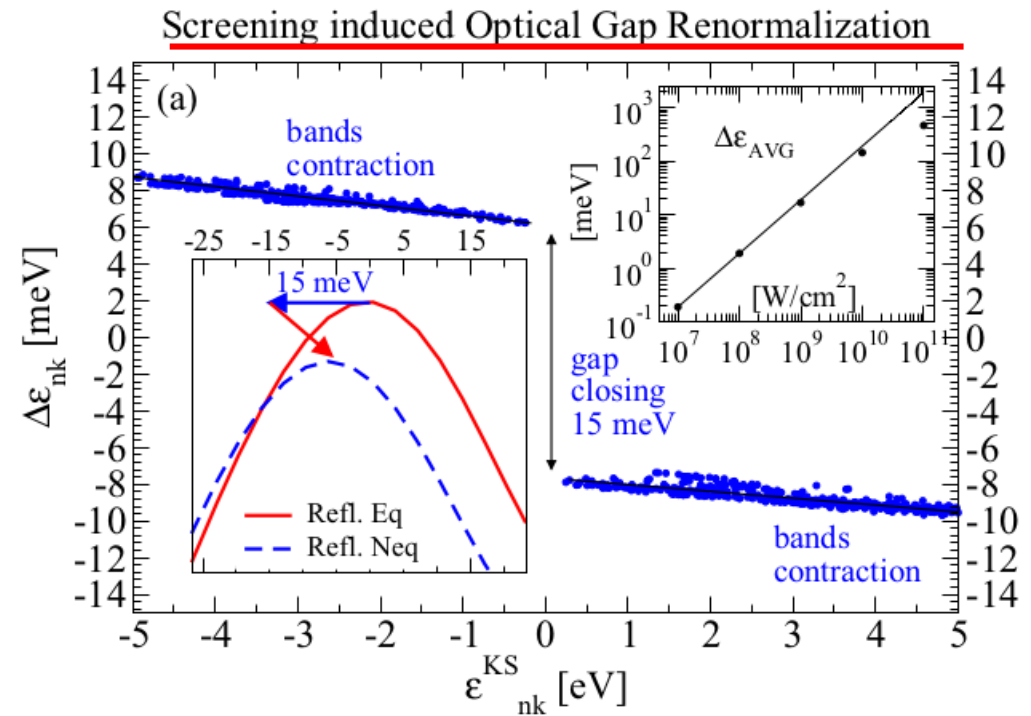
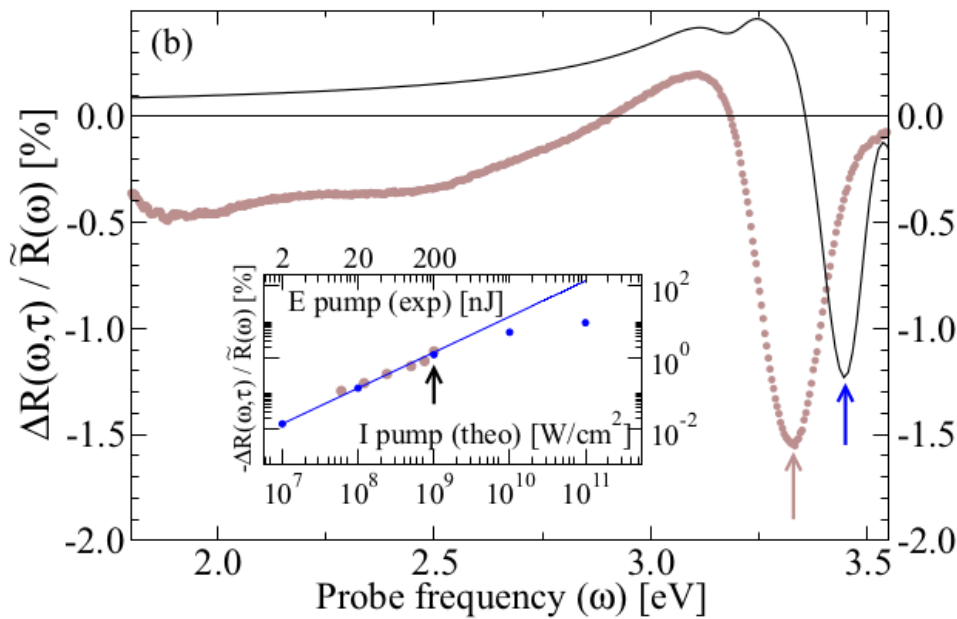


Nonequilibrium



Nonequilibrium optical properties in semiconductors from first principles: A combined theoretical and experimental study of bulk silicon

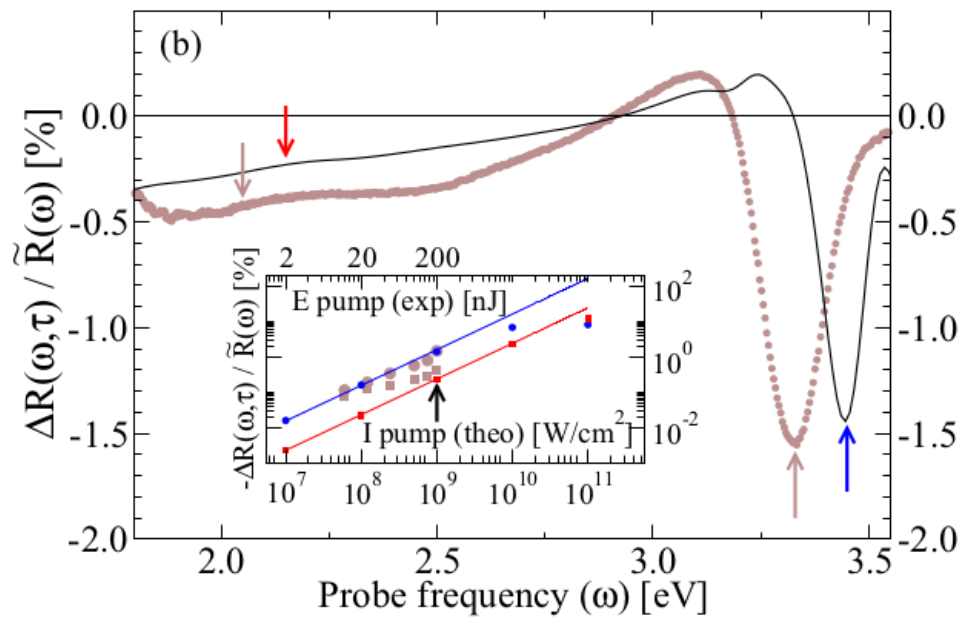
Davide Sangalli,^{1,2} Stefano Dal Conte,³ Cristian Manzoni,³ Giulio Cerullo,³ and Andrea Marini^{1,2}



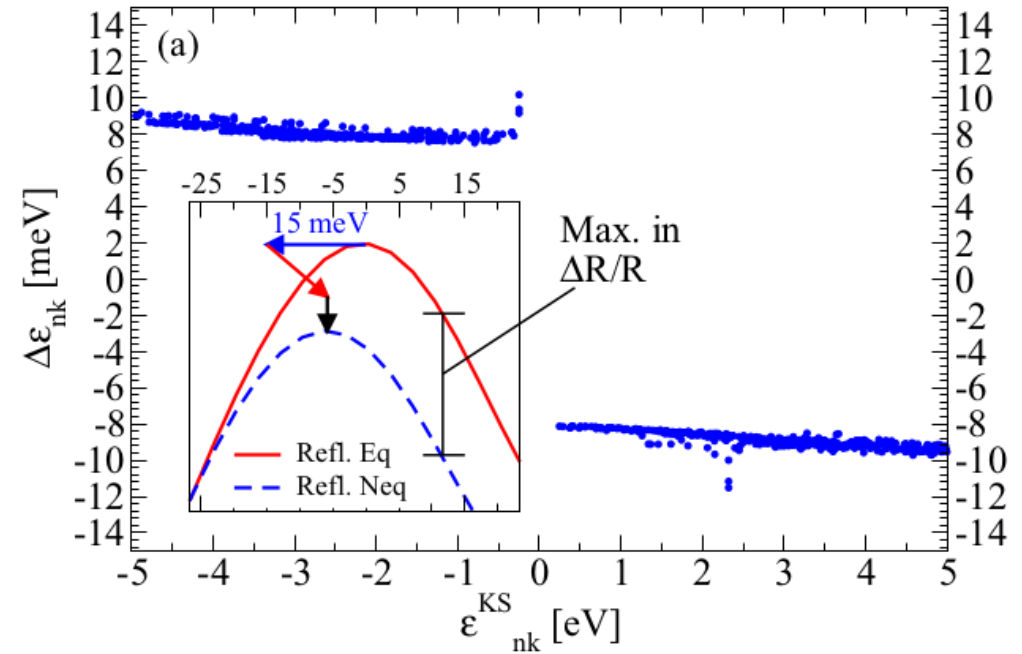


Nonequilibrium optical properties in semiconductors from first principles: A combined theoretical and experimental study of bulk silicon

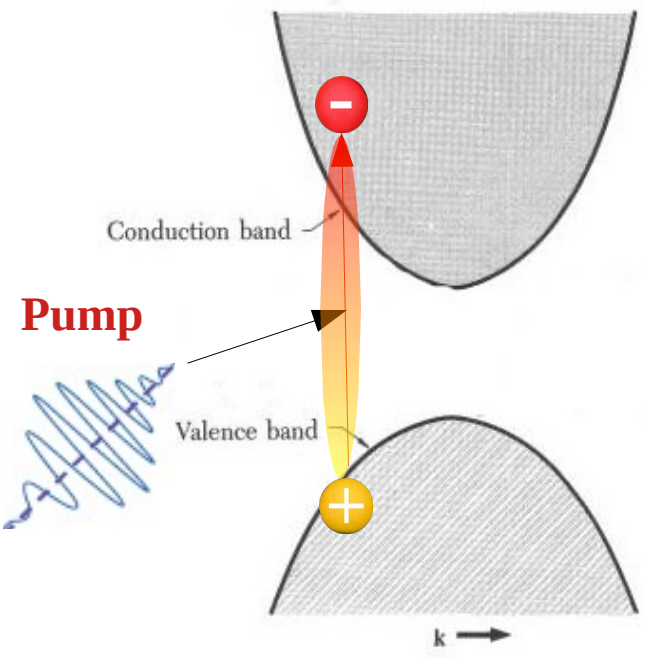
Davide Sangalli,^{1,2} Stefano Dal Conte,³ Cristian Manzoni,³ Giulio Cerullo,³ and Andrea Marini^{1,2}



Optical Gap & Residuals Renormalization

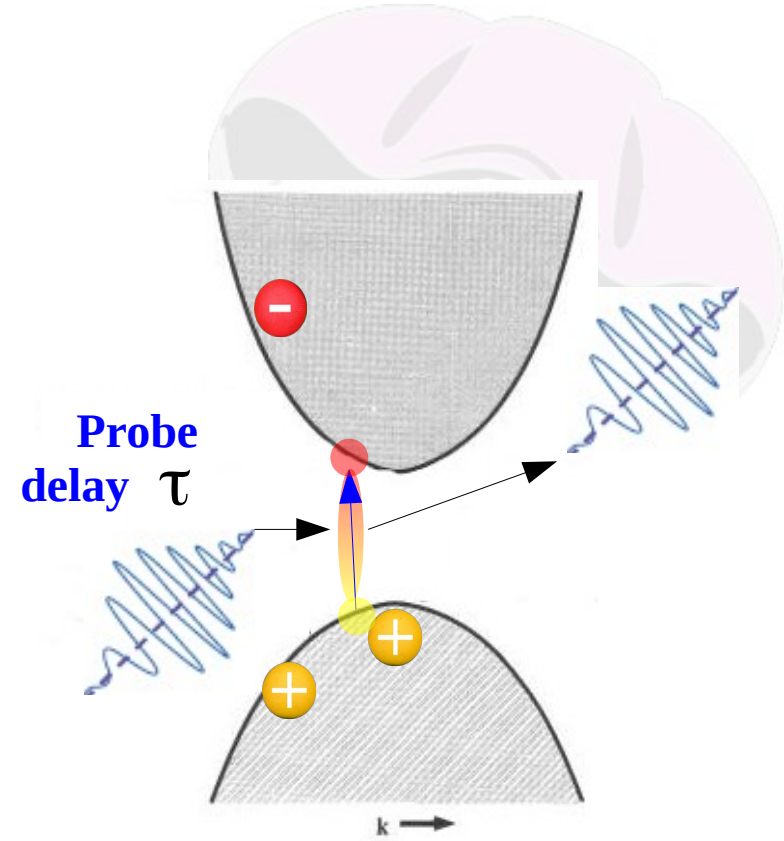


Pump and probe experiments



$$\rho_{nmk}(t)$$

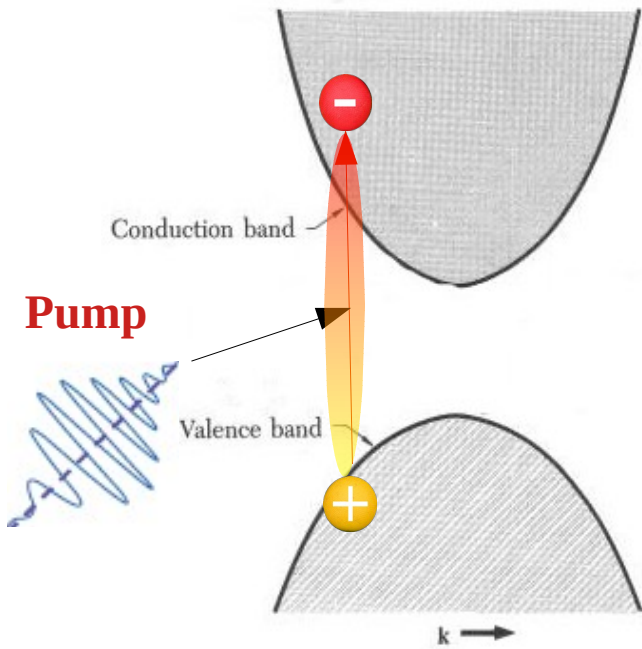
1) RT propagation for the pump



$$\approx \chi(\omega)[f_{nk}(\tau)]$$

2) "GW+BSE" for the probe

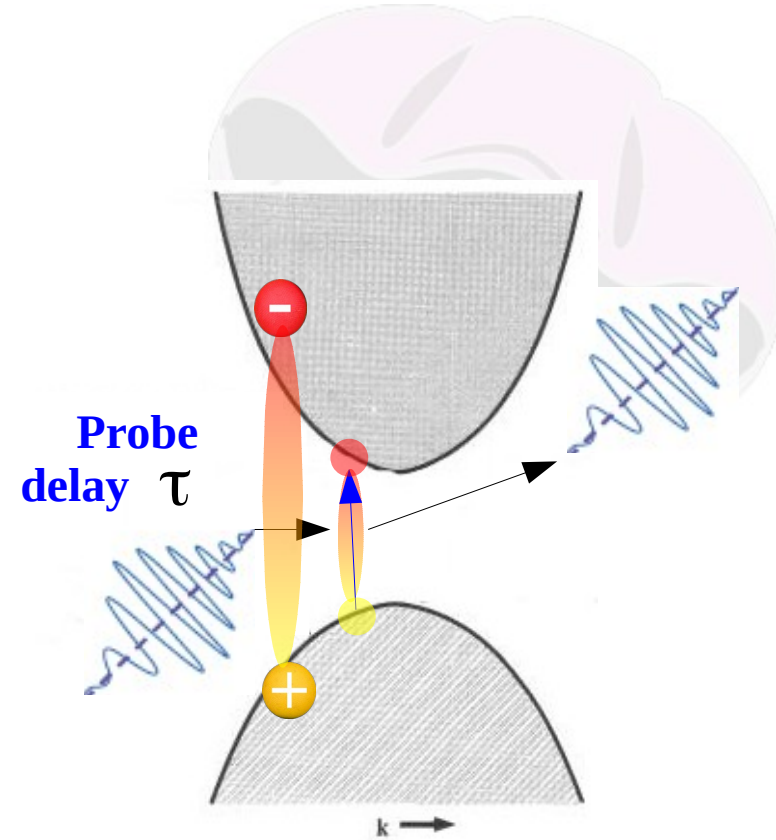
Transient absorption



$$\rho_{nmk}(t)$$

1) RT propagation for the pump

Early times regime:
No dissipation /decoherence



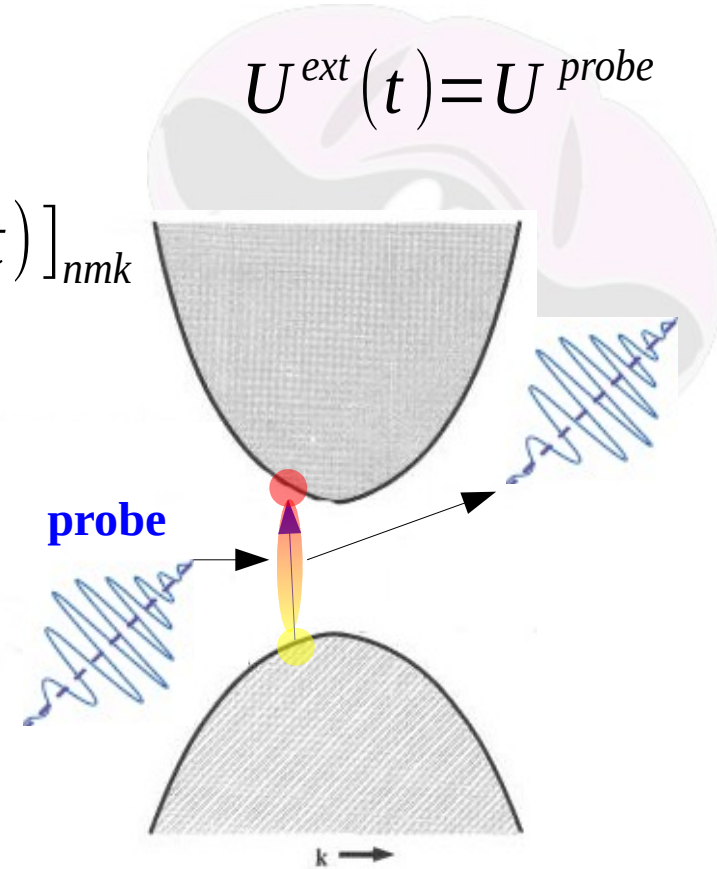
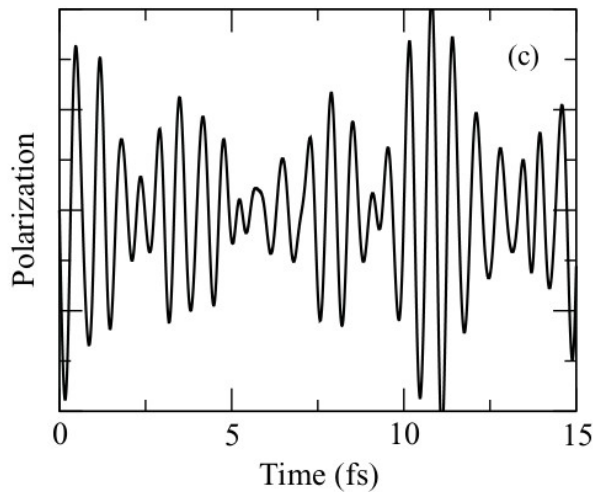
$$\chi(\omega, \tau)[\rho_{nmk}] \approx \chi(\omega)[\cancel{f_{nk}(\tau)}]$$

2) RT propagation for the probe as well

TD-HSEX equation: probe only

$$i \partial_t \rho_{nmk}(t) = [h^{GW-eq} + \Delta \Sigma^{HSEX}[\rho(t)] + U^{ext}(t), \rho(t)]_{nmk}$$

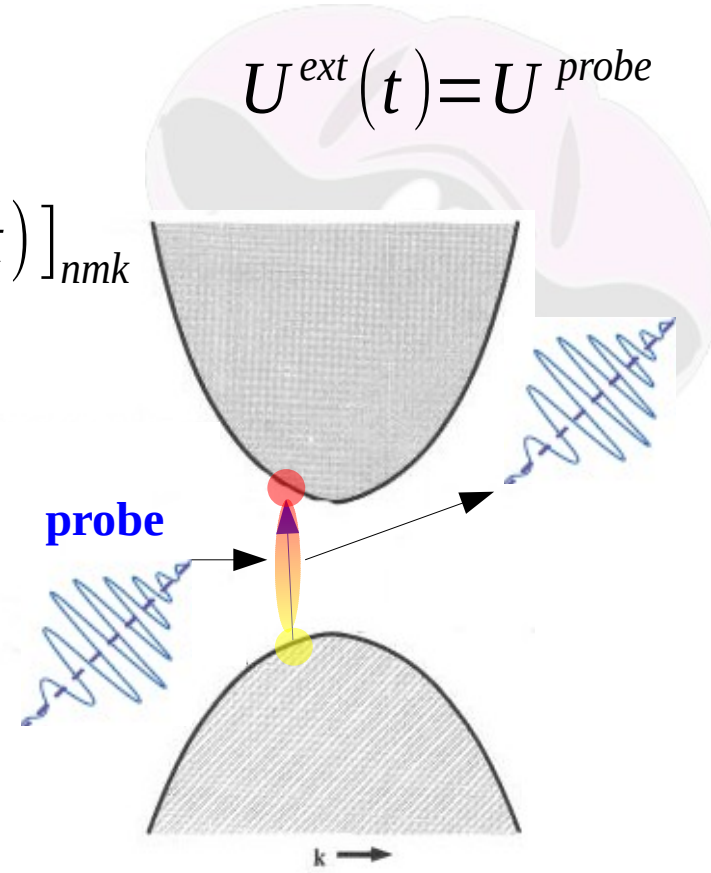
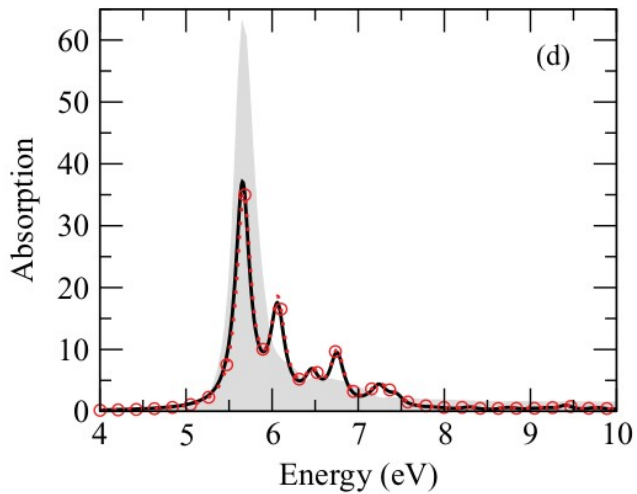
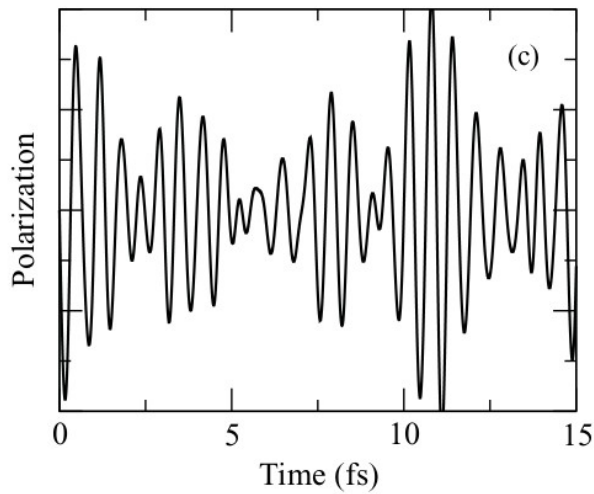
$P(t)$



TD-HSEX equation: probe only

$$i \partial_t \rho_{nmk}(t) = [h^{GW-eq} + \Delta \Sigma^{HSEX}[\rho(t)] + U^{ext}(t), \rho(t)]_{nmk}$$

$$P(t) \xrightarrow{\text{Fourier Transform}} \chi^{TD-HSEX}(\omega)$$



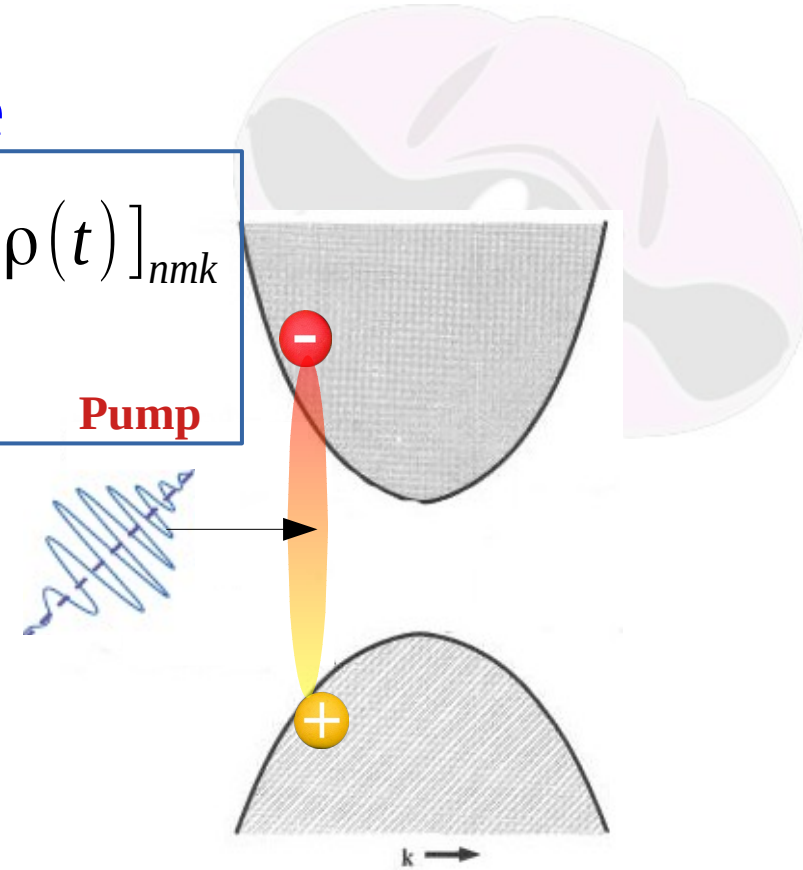
$$\chi^{TD-HSEX}(\omega) = \chi^{GW+BSE}[f^{eq}]$$

TD-HSEX equation: pump and probe

$$i \partial_t \rho_{nmk}(t) = [h^{GW-eg} + \Delta \Sigma^{HSEX}[\rho(t)] + U^{ext}(t), \rho(t)]_{nmk}$$

$$U_1^{ext}(t) = U^{pump}$$

$$P_1(t), f_{nk}(t) \quad \text{Pump}$$



TD-HSEX equation: pump and probe

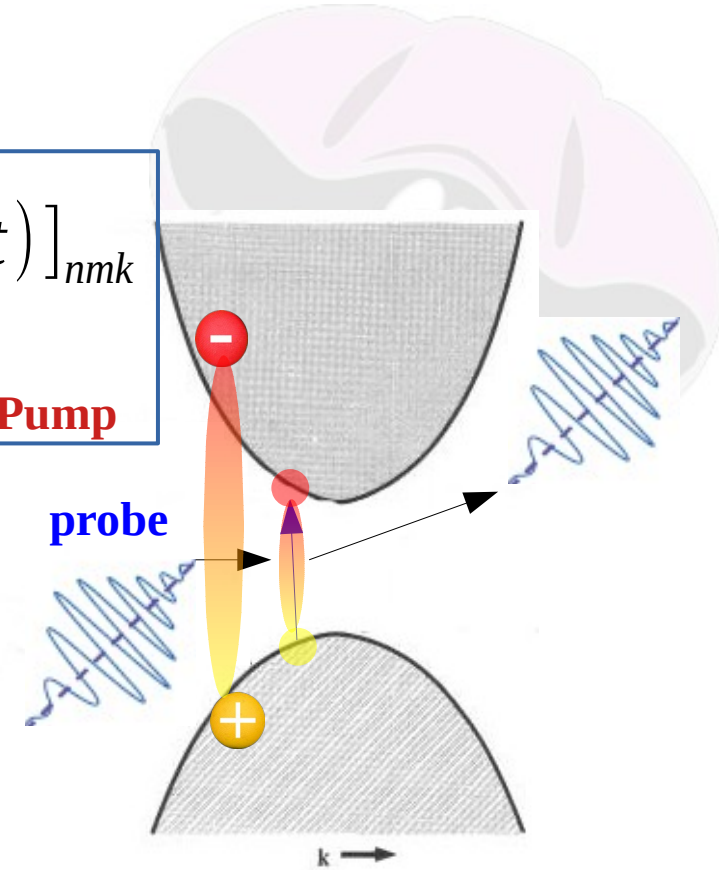
$$i \partial_t \rho_{nmk}(t) = [h^{GW-eq} + \Delta \Sigma^{HSEX}[\rho(t)] + U^{ext}(t), \rho(t)]_{nmk}$$

$$U_1^{ext}(t) = U^{pump}$$

$$P_1(t) = f_{nk}(t) \quad \text{Pump}$$

Option 1 (approximated)

$$\chi^{\text{NEQ-GW+BSE}}(\omega) [f_{nk}(\tau)]$$



TD-HSEX equation: pump and probe

$$i \partial_t \rho_{nmk}(t) = [h^{GW-eq} + \Delta \Sigma^{HSEX}[\rho(t)] + U^{ext}(t), \rho(t)]_{nmk}$$

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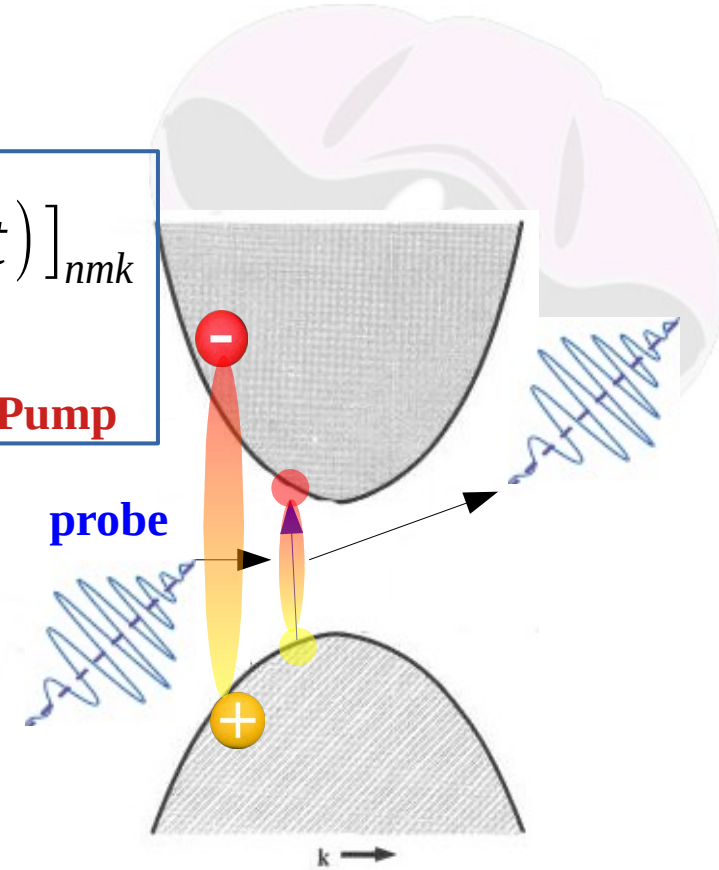
$$\chi^{NEQ-GW+BSE}(\omega) [f_{nk}(\tau)]$$

Option 2 (exact)

$$U_2^{ext}(t) = U^{pump} + U^{probe}(\tau)$$

$$P_2(t, \tau)$$

$$\chi^{TD-HSEX}(\omega, \tau) = \frac{P_2(\omega, \tau) - P_1(\omega)}{U^{probe}(\omega)}$$



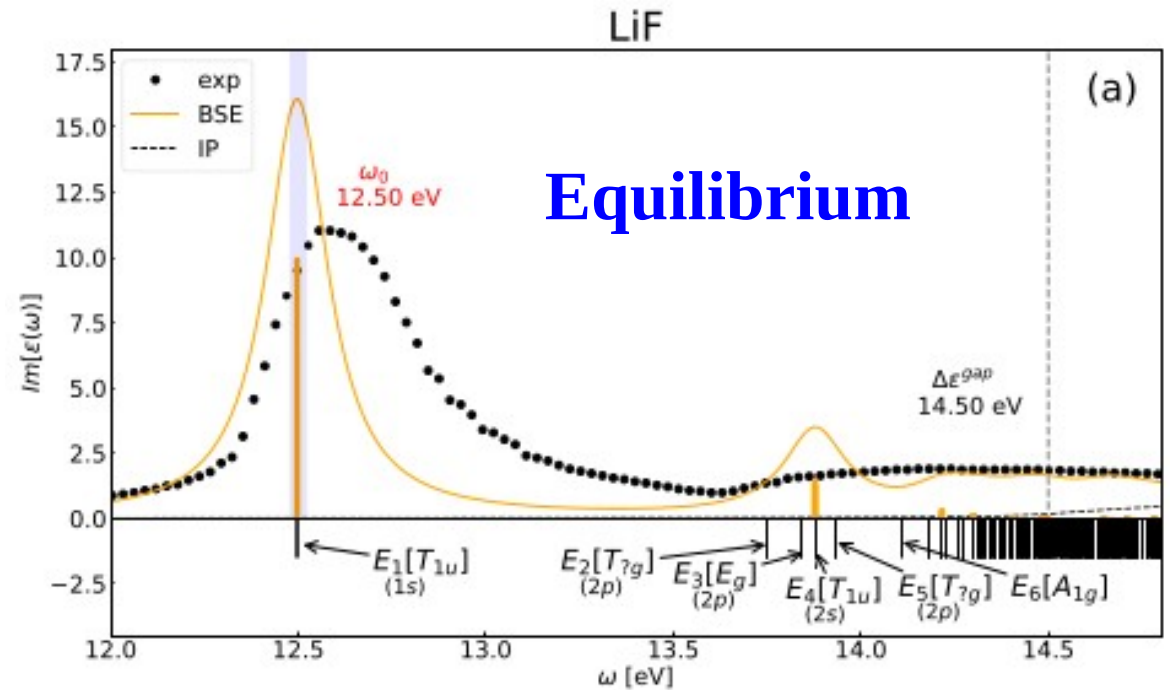
Comparison of the two approaches

$$\chi^{\text{NEQ-GW+BSE}}(\omega)[f_{nk}^{\text{eq}}]$$

Contains poles ω_λ

$$\chi^{\text{TD-HSEX}}[\rho](\omega)$$

Contains poles ω_λ



$$E_I^N - E_0^N$$

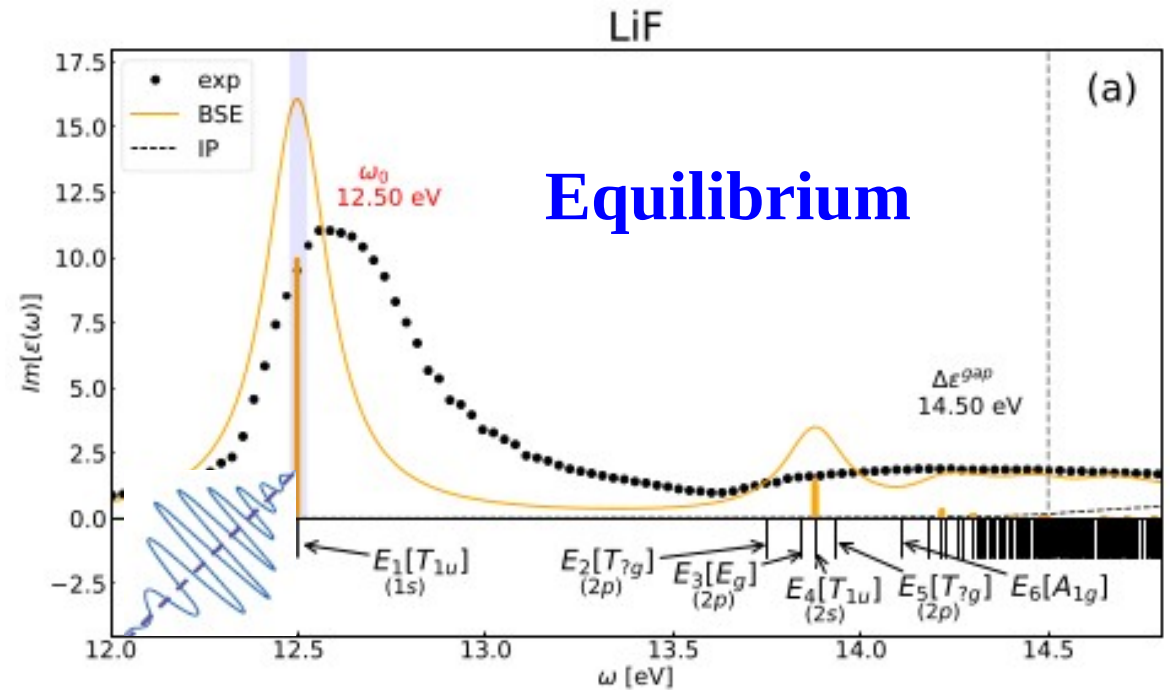
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$$\chi^{\text{NEQ-GW+BSE}}(\omega)[f_{nk}^{\text{eq}}]$$

Contains poles ω_λ

$$\chi^{\text{TD-HSEX}}[\rho](\omega)$$

Contains poles ω_λ



Pump

$$\omega_p = 12.5$$

$$E_I^N - E_0^N$$

Comparison of the two approaches

Option 1 (approximated)

$$\chi^{\text{NEQ-GW+BSE}}(\omega) [f_{nk}(\tau)]$$

Contains poles ω_λ and

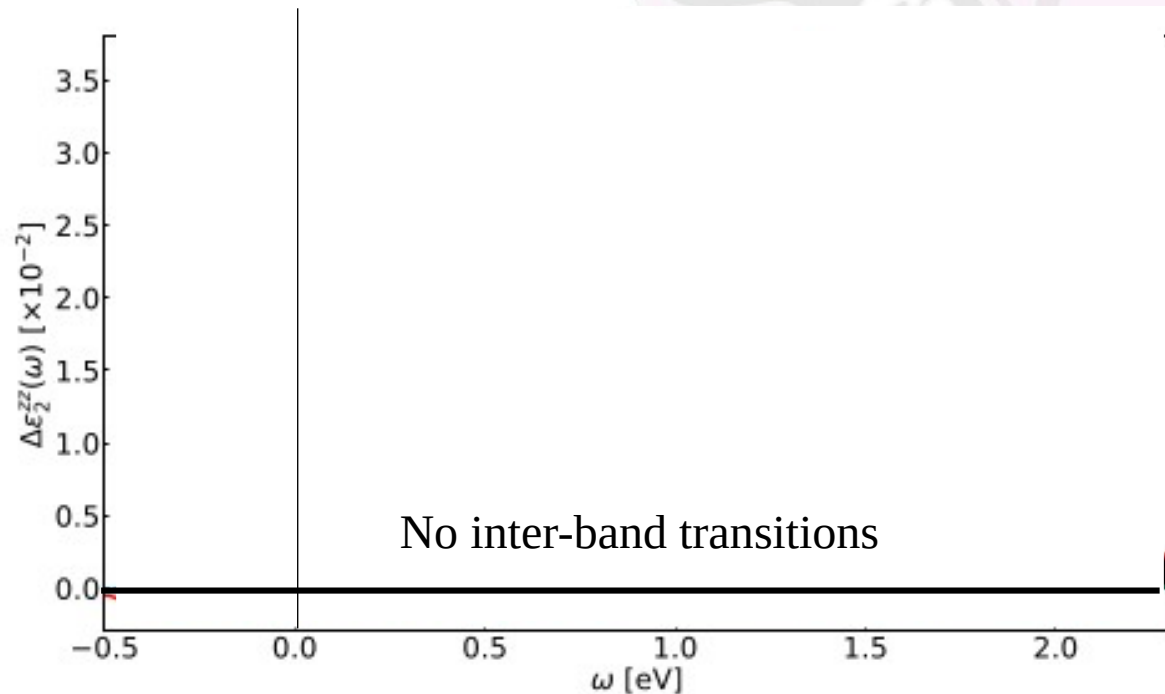
$$(\epsilon_{ck} - \epsilon_{c'k})$$

Option 2 (exact)

$$\chi^{\text{TD-HSEX}}[\rho](\omega, \tau)$$

Contains poles ω_λ and

$$(\omega_\lambda - \omega_{\lambda'})$$



Pump

$$\omega_p = 12.5$$

Nonequilibrium

$$E_I^N - E_0^N \quad \text{and} \quad ???$$

Comparison of the two approaches



Option 1 (approximated)

$$\chi^{\text{NEQ-GW+BSE}}(\omega) [f_{nk}(\tau)]$$

Contains poles ω_λ and

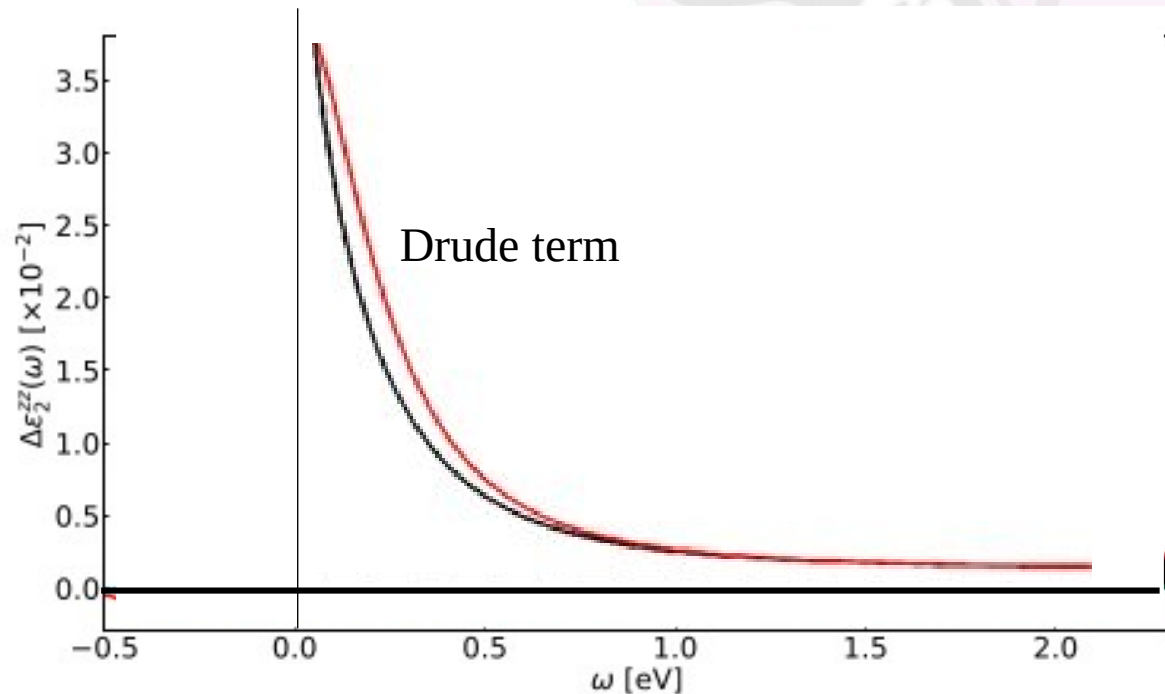
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Nonequilibrium

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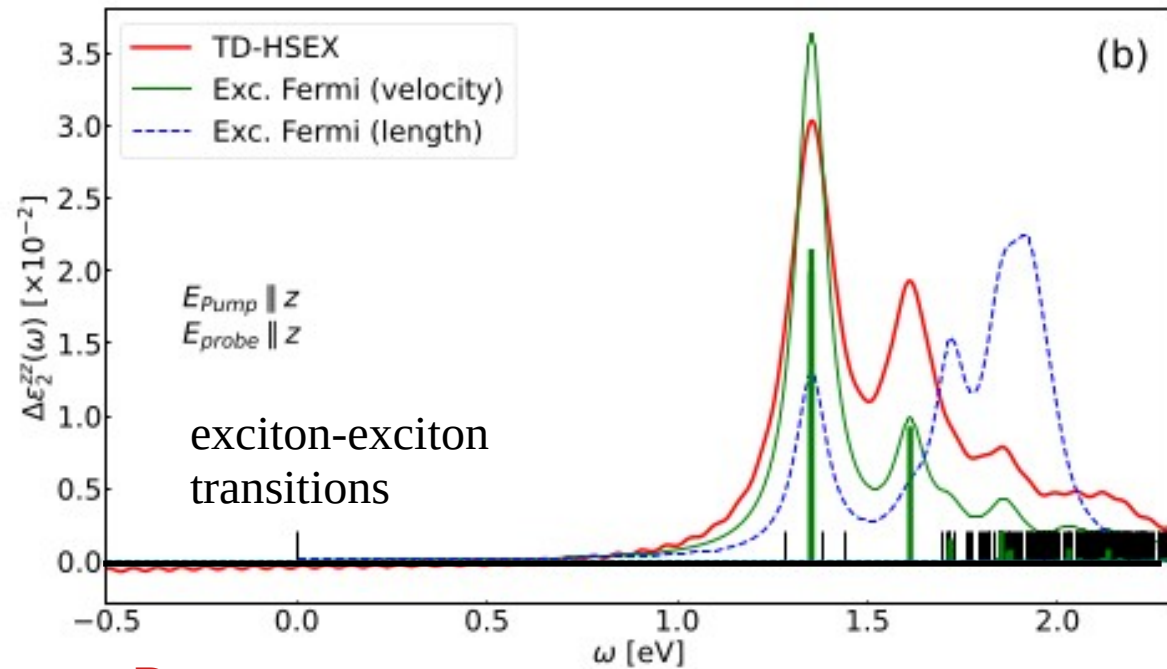
$$(\epsilon_{ck} - \epsilon_{c'k})$$

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$$\chi^{\text{TD-HSEX}}[\rho](\omega, \tau)$$

Contains poles ω_λ and

$$(\omega_\lambda - \omega_{\lambda'})$$



Pump

$$\omega_p = 12.5$$

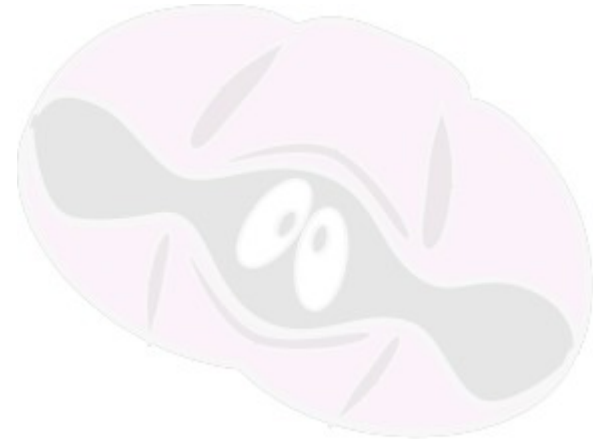
Nonequilibrium

$$E_I^N - E_0^N \quad \text{and} \quad E_I^N - E_J^N$$

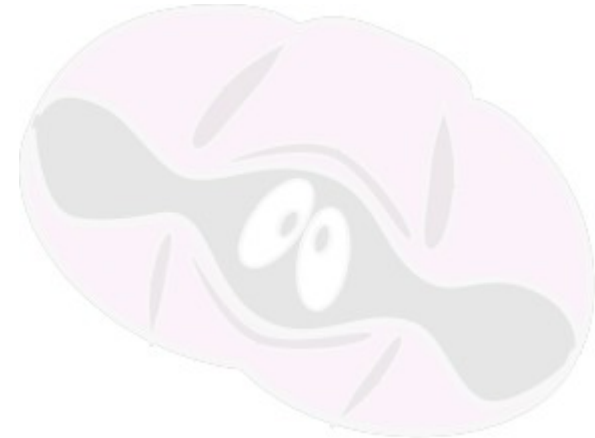
TR-ARPES

Option 1 (approximated)

$$I(\omega, k)[f_{nk}(\tau)] = \sum_c f_{ck}(\tau) \delta(\omega - \epsilon_{ck})$$



TR-ARPES



Option 1 (approximated)

$$I(\omega, k)[f_{nk}(\tau)] = \sum_c f_{ck}(\tau) \delta(\omega - \epsilon_{ck})$$

Option 2 (exact with static self-energy)

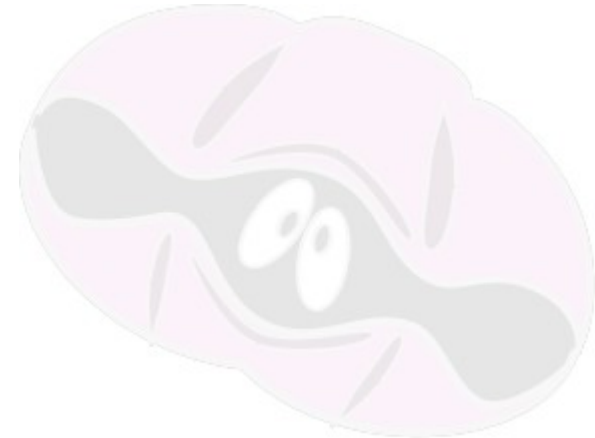
$$I[\rho](\omega, k, \tau) = G_{\tau}^{\leq}[\rho](k, \omega)$$

Generalized Kadanoff Baym Equation

$$G^{(r)}(t, t') = -i\theta(t - t')T \left[e^{-i \int_{t'}^t h^{HSEX}[\rho(t)] dt} \right]$$

$$G_{cc'\mathbf{k}}^{\leq}(t, t') = \sum_n \rho_{cn\mathbf{k}}(t) G_{nc'\mathbf{k}}^{(r)}(t, t') - G_{cn\mathbf{k}}^{(a)}(t, t') \rho_{nc'\mathbf{k}}(t')$$

TR-ARPES



Option 1 (approximated)

$$I(\omega, k)[f_{nk}(\tau)] = \sum_c f_{ck}(\tau) \delta(\omega - \epsilon_{ck})$$

Option 2 (exact with static self-energy)

$$I[\rho](\omega, k, \tau) = G_{\tau}^<[\rho](k, \omega)$$

$$G^{(r)}(t, t') = -i\theta(t - t')T \left[e^{-i \int_{t'}^t h^{HSEX}[\rho(t)] dt} \right]$$

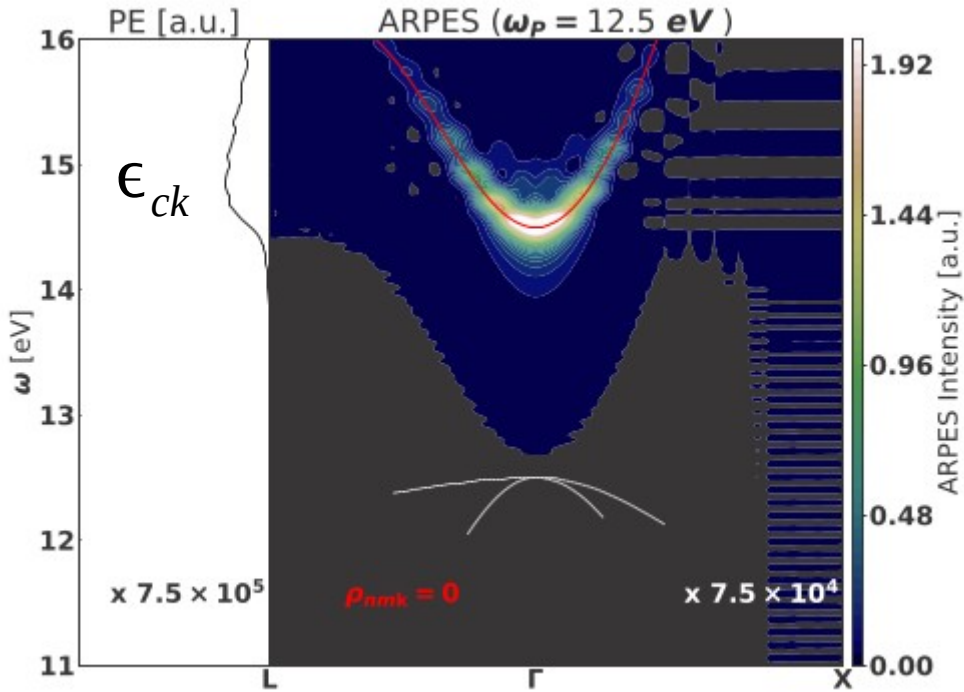
$$G_{cc'\mathbf{k}}^<(t, t') = \sum_n \rho_{cn\mathbf{k}}(t) G_{nc'\mathbf{k}}^{(r)}(t, t') - G_{cn\mathbf{k}}^{(a)}(t, t') \rho_{nc'\mathbf{k}}(t')$$

At equilibrium the two approaches are identical

Comparison of the two approaches

Pump

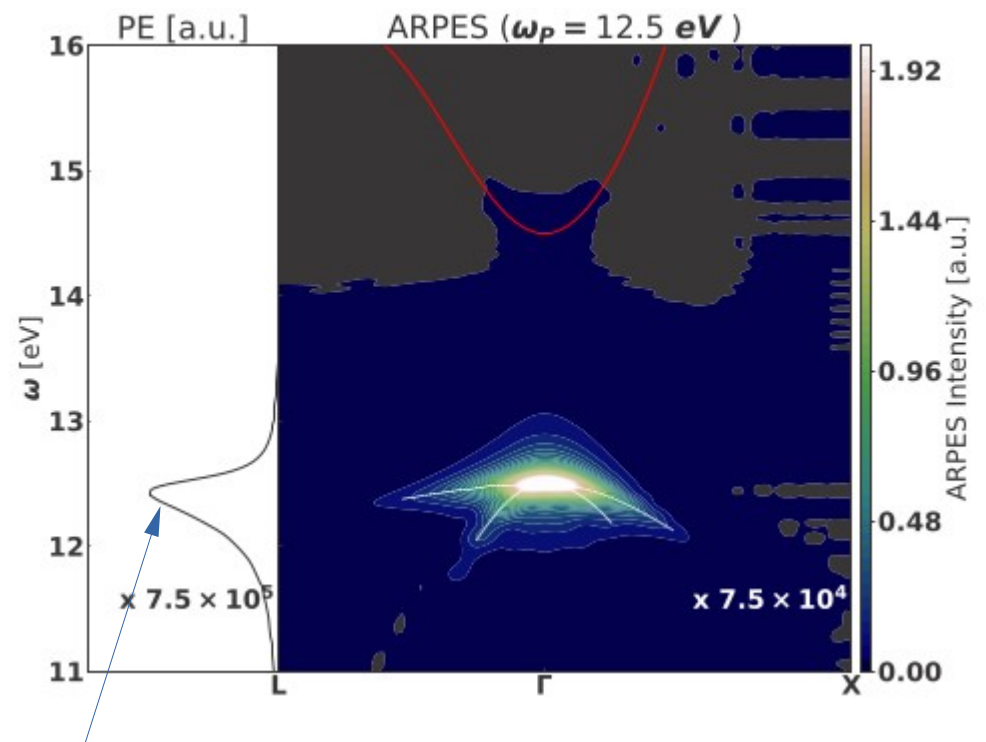
$$\omega_p = 12.5$$



D. Sangalli, Phys. Rev. Mat. 5, 083803 (2021)

$$I(\omega, k)[f_{nk}(\tau)]$$

Option 1 (approximated)

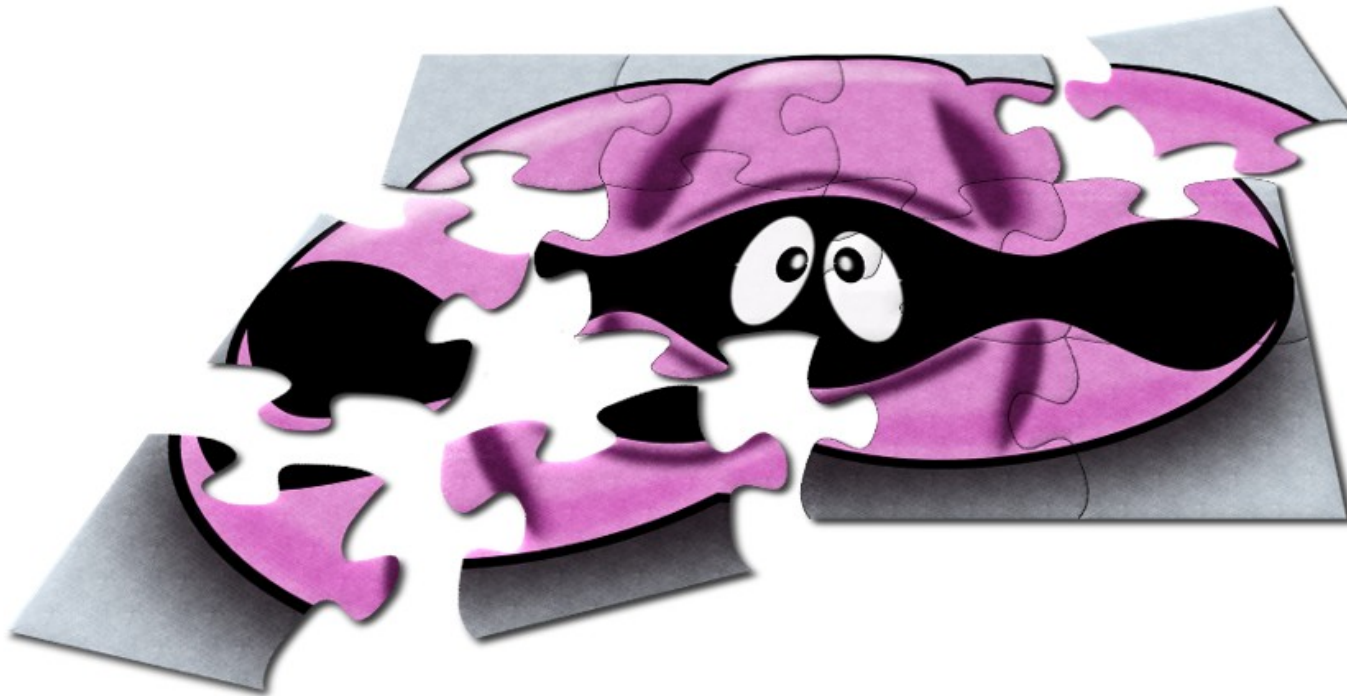


$$\omega_\lambda + \epsilon_{vk}$$

$$I[\rho](\omega, k, \tau)$$

Option 2 (exact with static self-energy)

Thank you for your attention



1. Many-body perturbation theory calculations using the yambo code
Journal of Physics: Condensed Matter 31, 325902 (2019)
2. Yambo: an ab initio tool for excited state calculations
Comp. Phys. Comm. 144, 180 (2009)

the **Yambo** team